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SUPERCONDUCTIVITY

OVERVIEW OF EXPERIMENTAL FACTS EARLY MODELS GINZBURG-LANDAU THEORY BCS THEORY

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Historical Overview







Perfect Conductivity





Kamerlingh Onnes and van der Waals in Leiden with the helium 'liquefactor' (1908)

Unexpected result

Expectation was the opposite: everything should become an isolator at $T \rightarrow 0$





Perfect Conductivity

Persistent current experiments on rings have measured

$$\frac{\sigma_s}{\sigma_n} > 10^{15}$$

Resistivity < $10^{-23} \Omega.cm$

Decay time > 10⁵ years

Perfect conductivity is not superconductivity

Superconductivity is a phase transition

A perfect conductor has an infinite relaxation time L/R





Perfect Diamagnetism (Meissner & Ochsenfeld 1933)



The final state would depend on the serial order in which the specimen is brought into the same external conditions.

$$\frac{\partial B}{\partial t} = 0$$

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which the superconducting state has been reached.

B = 0

Penetration Depth in Thin Films







Critical Field (Type I)

Superconductivity is destroyed by the application of a magnetic field



Type I or "soft" superconductors





Critical Field (Type II or "hard" superconductors)



Figure 3-1 Phase diagram for a long cylinder of a Type II superconductor.

Expulsion of the magnetic field is complete up to H_{c1} , and partial up to H_{c2}

Between H_{c1} and H_{c2} the field penetrates in the form if quantized vortices or fluxoids

$$\phi_0 = \frac{\pi\hbar}{e}$$





Thermodynamic Properties







Thermodynamic Properties

When $T < T_c$ phase transition at $H = H_c(T)$ is of 1st order \rightarrow latent heat

At $T = T_c$, phase transition is of 2nd order

no latent heat

jump in heat capacity

$$C_{es}\left(T_{c}\right) \sim 3C_{en}\left(T_{c}\right)$$

 $C_{en} = \gamma T$ (electronic specific heat) $C_{es} \approx \alpha T^3$ (reasonable fit to experimental data)







Thermodynamic Properties

At T_c : $S_s(T_c) = S_n(T_c)$ The entropy is continuous

Recall:
$$S(0) = 0$$
 and $\frac{\partial S}{\partial T} = \frac{C}{T}$

$$\Rightarrow \int_{0}^{T_{c}} \frac{\alpha T^{3}}{T} dt = \int_{0}^{T_{c}} \frac{\gamma T}{T} dt \rightarrow \alpha = \frac{3\gamma}{T_{c}^{2}} \qquad C_{es} = 3\gamma \frac{T^{3}}{T_{c}^{2}}$$

$$S_{s}(T) = \gamma \frac{T^{3}}{T_{c}^{3}} \qquad S_{n}(T) = \gamma \frac{T}{T_{c}}$$

For $T < T_c$ $S_s(T) < S_n(T)$

⇒ superconducting state is more ordered than normal state

A better fit for the electron specific heat in superconducting state is

$$C_{es} = a \gamma T_c \ e^{-\frac{bT_c}{T}}$$
 with $a \approx 9, b \approx 1.5$ for $T \ll T_c$





Energy Difference Between Normal and Superconducting State

$$U_{n}(T_{c}) = U_{s}(T_{c}) \qquad \text{Energy is continuous}$$

$$U_{n}(T) - U_{s}(T) = \int_{T}^{T_{c}} (C_{es} - C_{en}) dt = \frac{3}{4} \frac{\gamma}{T_{c}^{2}} (T_{c}^{4} - T^{4}) - \frac{\gamma}{2} (T_{c}^{2} - T^{2})$$
at $T=0 \qquad U_{n}(0) - U_{s}(0) = \frac{1}{4} \gamma T_{c}^{2} = \frac{H_{c}^{2}}{8\pi} \qquad \qquad \frac{H_{c}^{2}}{8\pi} \text{ is the condensation energy}$
at $T \neq 0$, $\frac{H_{c}^{2}}{8\pi}$ is the free energy difference
$$\frac{H_{c}^{2}(T)}{8\pi} = \Delta F = (U_{n} - U_{s}) - T (S_{n} - S_{c}) = \frac{1}{4} \gamma T_{c}^{2} \left[1 - \left(\frac{T}{T_{c}}\right)^{2} \right]^{2}$$

$$H_{c}(T) = \left(2\pi\gamma\right)^{\frac{1}{2}} T_{c} \left[1 - \left(\frac{T}{T_{c}}\right)^{2} \right]$$

The quadratic dependence of critical field on T is related to the cubic dependence of specific heat





Isotope Effect (Maxwell 1950)

The critical temperature and the critical field at 0K are dependent on the mass of the isotope

$$T_c \sim H_c(0) \sim M^{-\alpha}$$
 with $\alpha \simeq 0.5$



Figure 26: The critical temperature of various tin isotopes.





Energy Gap (1950s)

At very low temperature the specific heat exhibits an exponential behavior

 $c_s \propto e^{-bT_c/T}$ with $b \simeq 1.5$

Electromagnetic absorption shows a threshold

Tunneling between 2 superconductors separated by a thin oxide film shows the presence of a gap







Two Fundamental Lengths

- London penetration depth λ
 - Distance over which magnetic fields decay in superconductors
- Pippard coherence length ξ
 - Distance over which the superconducting state decays



FIGURE 1-4 Interface between superconducting and normal domains in the intermediate sta





Two Types of Superconductors

- London superconductors (Type II)
 - λ>> ξ
 - Impure metals
 - Alloys
 - Local electrodynamics
- Pippard superconductors (Type I)
 - ξ >> λ
 - Pure metals
 - Nonlocal electrodynamics





Material Parameters for Some Superconductors

Superconductor	$\lambda_{L}(0)$ (nm)	$\xi_0 (nm)$	κ	$2\Delta(0)/kT_c$	$T_c(\mathbf{K})$
Al	16	1500	0.011	3.40	1.18
In	25	400	0.062	3.50	3.3
Sn	28	300	0.093	3.55	3.7
Pb	28	110	0.255	4.10	7.2
Nb	32	39	0.82	3.5-3.85	8.95-9.2
Та	35	93	0.38	3.55	4.46
Nb ₃ Sn	50	6	8.3	4.4	18
NbN	50	6	8.3	4.3	$\leq \! 17$
Yba ₂ Cu ₃ o _x	140	1.5	93	4.5	90





Phenomenological Models (1930s to 1950s)

Phenomenological model: Purely descriptive Everything behaves as though.....

A finite fraction of the electrons form some kind of condensate that behaves as a macroscopic system (similar to superfluidity)

At 0K, condensation is complete

At $\rm T_{\rm c}$ the condensate disappears





Two Fluid Model – Gorter and Casimir

 $T < T_c$ x = fraction of "normal" electrons (1-x): fraction of "condensed" electrons (zero entropy)

Assume:
$$F(T) = x^{1/2} f_n(T) + (1-x) f_s(T)$$
 free energy
 $f_n(T) = -\frac{1}{2} \gamma T^2$
 $f_s(T) = -\beta = -\frac{1}{4} \gamma T_c^2$ independent of temperature
Minimization of $F(T)$ gives $x = \left(\frac{T}{T_C}\right)^4$
 $\Rightarrow F(T) = x^{1/2} f_n(T) + (1-x) f_s(T) = -\beta \left[1 + \left(\frac{T}{T_C}\right)^4\right]$
 $\Rightarrow C_{es} = 3\gamma \frac{T^3}{T_c^2}$





Two Fluid Model – Gorter and Casimir

Superconducting state:
$$F(T) = x^{1/2} f_n(T) + (1-x) f_s(T) = -\beta \left[1 + \left(\frac{T}{T_C}\right)^4 \right]$$

Normal state:

$$F(T) = f_n(T) = -\frac{\gamma}{2}T^2 = -2\beta \left(\frac{T}{T_C}\right)^2$$

Recall $\frac{H_c^2}{8\pi}$ = difference in free energy between normal and superconducting state

$$= \beta \left[1 - \left(\frac{T}{T_C} \right)^2 \right]^2$$
$$\Rightarrow \frac{H_c(T)}{H_c(0)} = 1 - \left(\frac{T}{T_C} \right)^2$$

The Gorter-Casimir model is an "ad hoc" model (there is no physical basis for the assumed expression for the free energy) but provides a fairly accurate representation of experimental results





Proposed a 2-fluid model with a normal fluid and superfluid components

 n_s : density of the superfluid component of velocity \mathbf{v}_s n_n : density of the normal component of velocity \mathbf{v}_n

$$m\frac{\partial \bar{\upsilon}}{\partial t} = -e\bar{E}$$
 superelectrons are accelerated by E
$$\overline{J_s} = -en_s \ \bar{\upsilon}$$

$$\frac{\partial \vec{J}_s}{\partial t} = \frac{n_s e^2}{m} \vec{E} \qquad \text{superelectrons}$$

 $\vec{J}_n = \sigma_n \vec{E}$ normal electrons





$$\frac{\partial \vec{J}_s}{\partial t} = \frac{n_s e^2}{m} \vec{E}$$
Maxwell: $\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$

$$\Rightarrow \frac{\partial}{\partial t} \left(\frac{m}{n_s e^2} \vec{\nabla} \times \vec{J}_s + \vec{B} \right) = 0 \qquad \Rightarrow \frac{m}{n_s e^2} \vec{\nabla} \times \vec{J}_s + \vec{B} = \text{Constant}$$
E8.44 ender postulated: $\frac{m}{m_s} \vec{\nabla} \times \vec{J}_s + \vec{B} = 0$

F&H London postulated:
$$\frac{m}{n_s e^2} \nabla \times \vec{J}_s + \vec{B} = 0$$





combine with $\vec{\nabla} \times \vec{B} = \mu_o \vec{J}_s$

$$\nabla^2 \, \overline{B} - \frac{\mu_0 \, n_s e^2}{m} \, \overline{B} = 0$$

$$B(x) = B_0 \exp\left[-x/\lambda_L\right]$$
$$\lambda_L = \left[\frac{m}{\mu_0 n_s e^2}\right]^{\frac{1}{2}}$$



The magnetic field, and the current, decay exponentially over a distance λ (a few 10s of nm)





$$\lambda_L = \left[\frac{m}{\mu_0 n_s e^2}\right]^{\frac{1}{2}}$$

From Gorter and Casimir two-fluid model

$$n_s \propto \left[1 - \left(\frac{T}{T_C} \right)^4 \right]$$





FIG. 21. Penetration depth as a function of temperature. (After Shoenberg, Nature, 43, 433, 1939.)





London Equation:
$$\lambda^2 \nabla \times \vec{J}_s = -\frac{\vec{B}}{\mu_0} = -\vec{H}$$

 $\nabla \times \vec{A} = \vec{H}$
choose $\nabla \cdot \vec{A} = 0$, $A_n = 0$ on sample surface (London gauge)

$$\vec{J}_s = -\frac{1}{\lambda^2} \vec{A}$$

Note: Local relationship between \vec{J}_s and \vec{A}





Penetration Depth in Thin Films







Quantum Mechanical Basis for London Equation

$$\vec{J}(r) = \sum_{n} \int \left\{ \frac{e\hbar}{2mi} \left[\psi^* \nabla_n \psi - \psi \nabla_n \psi^* \right] - \frac{e^2}{mc} \vec{A}(\vec{r}_n) \psi^* \psi \right\} \delta(r - r_n) dr_1 - dr_n$$

In zero field $\vec{A} = 0$ $\vec{J}(r) = 0$, $\psi = \psi_0$

Assume ψ is "rigid", ie the field has no effect on wave function

$$\vec{J}(r) = -\frac{\rho(r)e^2}{me} \vec{A}(r)$$
$$\rho(r) = n$$





Pippard's Extension of London's Model

Observations:

-Penetration depth increased with reduced mean free path

- $\rm H_{c}$ and $\rm T_{c}$ did not change

- Need for a positive surface energy over 10⁻⁴ cm to explain existence of normal and superconducting phase in intermediate state

Non-local modification of London equation

Local:
$$\vec{J} = -\frac{1}{c\lambda}\vec{A}$$

Non local: $\vec{J}(r) = -\frac{3\sigma}{4\pi\xi_0\lambda c}\int \frac{\vec{R}[\vec{R}\cdot\vec{A}(r')]e^{-\frac{\vec{R}}{\xi}}}{R^4}dv$
 $\frac{1}{\xi} = \frac{1}{\xi_0} + \frac{1}{\ell}$





London and Pippard Kernels







London Electrodynamics

Linear London equations

$$\frac{\partial \vec{J}_s}{\partial t} = -\frac{\vec{E}}{\lambda^2 \mu_0} \qquad \nabla^2 \vec{H} - \frac{1}{\lambda^2} \vec{H} = 0$$

together with Maxwell equations

$$\nabla \times \vec{H} = \vec{J}_s \qquad \nabla \times \vec{E} = -\mu_0 \frac{\partial \vec{H}}{\partial t}$$

describe the electrodynamics of superconductors at all T if:

- The superfluid density n_s is spatially uniform
- The current density J_s is small





Ginzburg-Landau Theory

- Many important phenomena in superconductivity occur because n_s is not uniform
 - Interfaces between normal and superconductors
 - Trapped flux
 - Intermediate state
- London model does not provide an explanation for the surface energy (which can be positive or negative)
- GL is a generalization of the London model but it still retain the local approximation of the electrodynamics





Ginzburg-Landau Theory

- Ginzburg-Landau theory is a particular case of Landau's theory of second order phase transition
- Formulated in 1950, before BCS
- Masterpiece of physical intuition
- Grounded in thermodynamics
- Even after BCS it still is very fruitful in analyzing the behavior of superconductors and is still one of the most widely used theory of superconductivity





Ginzburg-Landau Theory

- Theory of second order phase transition is based on an order parameter which is zero above the transition temperature and non-zero below
- For superconductors, GL use a complex order parameter $\Psi(r)$ such that $|\Psi(r)|^2$ represents the density of superelectrons
- The Ginzburg-Landau theory is valid close to T_c





Ginzburg-Landau Equation for Free Energy

- Assume that $\Psi(\mathbf{r})$ is small and varies slowly in space
- Expand the free energy in powers of Ψ(r) and its derivative

$$f = f_{n0} + \alpha |\psi|^2 + \frac{\beta}{2} |\psi|^4 + \frac{1}{2m^*} \left(\frac{\hbar}{i} \nabla - \frac{e^*}{c} \mathbf{A} \right) \psi \Big|^2 + \frac{\hbar^2}{8\pi}$$





Field-Free Uniform Case







Field-Free Uniform Case

$$f - f_{n0} = \alpha |\psi|^2 + \frac{\beta}{2} |\psi|^4 \qquad \qquad |\psi_{\infty}|^2 = -\frac{\alpha}{\beta}$$
$$\beta > 0 \qquad \alpha(t) = \alpha'(t-1) \qquad \Rightarrow |\psi_{\infty}|^2 \quad \propto (1-t)$$

It is consistent with correlating $|\Psi(\mathbf{r})|^2$ with the density of superelectrons

$$n_s \propto \lambda^{-2} \propto (1-t)$$
 near T_c

At the minimum

$$f - f_{n0} = -\frac{\alpha^2}{2\beta} = -\frac{H_c^2}{8\pi} \quad (\text{definition of } H_c)$$
$$\Rightarrow H_c \propto (1 - t)$$

which is consistent with $H_c = H_{c0} \left(1 - t^2 \right)$





Field-Free Uniform Case

Identify the order parameter with the density of superelectrons

$$n_{s} = |\Psi|^{2} \sim \frac{1}{\lambda_{L}^{2}(T)} \implies \frac{\lambda_{L}^{2}(0)}{\lambda_{L}^{2}(T)} = \frac{|\Psi(T)|^{2}}{|\Psi(0)|^{2}} = -\frac{1}{n} \frac{\alpha(T)}{\beta}$$
since $\frac{1}{2} \frac{\alpha^{2}(T)}{\beta} = \frac{H_{c}^{2}(T)}{8\pi}$

$$n\alpha(T) = -\frac{H_{c}^{2}(T)}{4\pi} \frac{\lambda_{L}^{2}(T)}{\lambda_{L}^{2}(0)} \quad \text{and} \quad n^{2}\beta = \frac{H_{c}^{2}(T)}{4\pi} \frac{\lambda_{L}^{4}(T)}{\lambda_{L}^{4}(0)}$$





Field-Free Nonuniform Case

Equation of motion in the absence of electromagnetic field

$$-\frac{1}{2m^*}\nabla^2\psi + \alpha(T)\psi + \beta|\psi|^2\psi = 0$$

Look at solutions close to the constant one

$$\psi = \psi_{\infty} + \delta$$
 where $|\psi_{\infty}|^2 = -\frac{\alpha(T)}{\beta}$

To first order:
$$\frac{1}{4m^*|\alpha(T)|}\nabla^2\delta - \delta = 0$$

Which leads to $\delta \approx e^{-\sqrt{2}r/\xi(T)}$





Field-Free Nonuniform Case

$$\delta \approx e^{-\sqrt{2}r/\xi(T)}$$
 where $\xi(T) = \frac{1}{\sqrt{2m^*|\alpha(T)|}} = \sqrt{\frac{2\pi n}{m^* H_c^2(T)}} \frac{\lambda_L(0)}{\lambda_L(T)}$

is the Ginzburg-Landau coherence length.

It is different from, but related to, the Pippard coherence length.

$$\xi(T) \simeq \frac{\xi_0}{\left(1 - t^2\right)^{1/2}}$$

GL parameter:
$$\kappa(T) = \frac{\lambda_L(T)}{\xi(T)}$$

Both $\lambda_L(T)$ and $\xi(T)$ diverge as $T \to T_c$ but their ratio remains finite

 $\kappa(T)$ is almost constant over the whole temperature range





2 Fundamental Lengths

London penetration depth: length over which magnetic field decay

$$\lambda_L(T) = \left(\frac{m^*\beta}{2e^2\alpha'}\right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$

Coherence length: scale of spatial variation of the order parameter (superconducting electron density)

$$\xi(T) = \left(\frac{\hbar^2}{4m^*\alpha'}\right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$

The critical field is directly related to those 2 parameters

$$H_c(T) = \frac{\phi_0}{2\sqrt{2}\,\xi(T)\,\lambda_L(T)}$$





Surface Energy



$$\sigma \approx \frac{1}{8\pi} \Big[H_c^2 \xi - H^2 \lambda \Big]$$

$$\frac{H^2 \lambda}{8\pi}$$
: Energy that can be gained by letting the fields penetrate

$$\frac{H_c^2\xi}{8\pi}$$
: Energy lost by "damaging" superconductor





Surface Energy

$$\sigma \simeq \frac{1}{8\pi} \Big[H_c^2 \xi - H^2 \lambda \Big]$$

Interface is stable if σ >0

If $\xi >> \lambda$ $\sigma > 0$

Superconducting up to H_c where superconductivity is destroyed globally

If
$$\lambda >> \xi$$
 $\sigma < 0$ for $H^2 > H_c^2 \frac{\xi}{\lambda}$

Advantageous to create small areas of normal state with large area to volume ratio \rightarrow quantized fluxoids

More exact calculation (from Ginzburg-Landau):

$$\kappa = \frac{\lambda}{\xi} < \frac{1}{\sqrt{2}} \qquad : \text{Type I}$$
$$\kappa = \frac{\lambda}{\xi} > \frac{1}{\sqrt{2}} \qquad : \text{Type II}$$





Magnetization Curves





FIGURE 5-2

Comparison of magnetization curves for three superconductors with the same value of thermodynamic critical field H_c , but different values of κ . For $\kappa < 1/\sqrt{2}$, the superconductor is of type I and exhibits a first-order transition at H_c . For $\kappa > 1/\sqrt{2}$, the superconductor is type II and shows second-order transitions at H_{c1} and H_{c2} (for clarity, marked only for the highest κ case). In all cases, the area under the curve is the condensation energy $H_c^2/8\pi$.

FIGURE 1-5

Comparison of flux penetration behavior of type I and type II superconductors with the same thermodynamic critical field H_c . $H_{c2} = \sqrt{2}\kappa H_c$. The ratio of B/H_{c2} from this plot also gives the approximate variation of R/R_n , where R is the electrical resistance for the case of negligible pinning, and R_n is the normal-state resistance.





Intermediate State





Vortex lines in Pb_{.98}In_{.02}

At the center of each vortex is a normal region of flux h/2e





Critical Fields

Even though it is more energetically favorable for a type I superconductor to revert to the normal state at H_c , the surface energy is still positive up to a superheating field H_{sh} > H_c \rightarrow metastable superheating region in which the material may remain superconducting for short times.

Type I H_c Thermodynamic critical field $H_{sh} \simeq$ $\frac{H_c}{\sqrt{\kappa}}$ Superheating critical fieldField at which surface energy is

Type II

$$H_{c} ext{Thermodynamic critical field} \\ H_{c2} ext{ = } \sqrt{2} ext{ } \kappa ext{ } H_{c} \\ H_{c1} \approx ext{ } \frac{H_{c}^{2}}{H_{c2}} \\ \approx ext{ } \frac{1}{2\kappa} (\ln \kappa + .008) H_{c} ext{ (for } \kappa \gg 1) \\ \end{aligned}$$



Figure 3-1 Phase diagram for a long cylinder of a Type II superconductor.





Superheating Field

Ginsburg-Landau:

$$H_{sh} \sim \frac{0.9H_c}{\sqrt{\kappa}} \text{ for } \kappa <<1$$

$$\sim 1.2 H_c \text{ for } \kappa \sim 1$$

$$\sim 0.75 H_c \text{ for } \kappa >>1$$

The exact nature of the rf critical field of superconductors is still an open question



Fig. 13: Phase diagram of superconductors⁴² in the transition regime of type I and II. The normalized critical fields are shown as a function of x.





Material Parameters for Some Superconductors

Superconductor	$\lambda_{L}(0)$ (nm)	ξ_0 (nm)	к	$2\Delta(0)/kT_c$	$T_c(\mathbf{K})$
Al	16	1500	0.011	3.40	1.18
In	25	400	0.062	3.50	3.3
Sn	28	300	0.093	3.55	3.7
Pb	28	110	0.255	4.10	7.2
Nb	32	39	0.82	3.5-3.85	8.95-9.2
Та	35	93	0.38	3.55	4.46
Nb ₃ Sn	50	6	8.3	4.4	18
NbN	50	6	8.3	4.3	$\leq \! 17$
Yba ₂ Cu ₃ o _x	140	1.5	93	4.5	90





- What needed to be explained and what were the clues?
 - Energy gap (exponential dependence of specific heat
 - Isotope effect (the lattice is involved)
 - Meissner effect



Figure 26: The critical temperature of various tin isotopes.





Cooper Pairs

Assumption: Phonon-mediated attraction between electron of equal and opposite momenta located within $\hbar \omega_D$ of Fermi surface

Moving electron distorts lattice and leaves behind a trail of positive charge that attracts another electron moving in opposite direction

Fermi ground state is unstable

Electron pairs can form bound states of lower energy

Bose condensation of overlapping Cooper pairs into a coherent Superconducting state



Figure 20: A pair of electrons of opposite momenta added to the full Fermi sphere.







Cooper Pairs

One electron moving through the lattice attracts the positive ions.

Because of their inertia the maximum displacement will take place $d \approx v_F \frac{2\pi}{\omega_D} \approx 100 - 1000 \,\mathrm{nm}$ behind.







Figure 22: Cooper pairs and single electrons in the crystal lattice of a superconductor. (After Essmann and Träuble [12]).



Figure 23: Various Cooper pairs $(\vec{p}, -\vec{p}), (\vec{p}', -\vec{p}'), (\vec{p}'', -\vec{p}''), \dots$ in momentum space.

The size of the Cooper pairs is much larger than their spacing They form a coherent state





BCS and BEC

BCS

BEC

weak coupling

large pair size **k**-space pairing

strongly overlapping Cooper pairs strong coupling

small pair size **r**-space pairing

ideal gas of preformed pairs







BCS Theory

 $|0\rangle_q, |1\rangle_q$:states where pairs $(\vec{q}, -\vec{q})$ are unoccupied, occupied a_q, b_q : probabilites that pair $(\vec{q}, -\vec{q})$ is unoccupied, occupied

BCS ground state

$$\left|\Psi\right\rangle = \prod_{\vec{q}} \left(a_{q} \left|0\right\rangle_{q} + b_{q} \left|1\right\rangle_{q}\right)$$

Assume interaction between pairs \vec{q} and \vec{k} $V_{qk} = -V$ if $|\xi_q| \le \hbar \omega_D$ and $|\xi_k| \le \hbar \omega_D$ = 0 otherwise



Figure 4-1

Electron-electron interaction via phonons. In process (a) the electron \mathbf{k} emits a phonon of wave-vector $-\mathbf{q}$. The phonon is absorbed later by the second electron. In process (b) the second electron in state $(-\mathbf{k})$ emits a phonon \mathbf{q} , subsequently absorbed by the first electron.





Hamiltonian

$$\mathcal{H} = \sum_{k} \varepsilon_{k} n_{k} + \sum_{qk} V_{qk} c_{q}^{*} c_{-q}^{*} c_{k} c_{-k}$$

 c_k destroys an electron of momentum k

 c_q^* creates an electron of momentum k

 $n_k = c_k^* c_k$ number of electrons of momentum k

• Ground state wave function

$$\left|\Psi\right\rangle = \prod_{\vec{q}} \left(a_{q} + b_{q} c_{q}^{*} c_{-q}^{*}\right) \left|\phi_{0}\right\rangle$$





- The BCS model is an extremely simplified model of reality
 - The Coulomb interaction between single electrons is ignored
 - Only the term representing the scattering of pairs is retained
 - The interaction term is assumed to be constant over a thin layer at the Fermi surface and 0 everywhere else
 - The Fermi surface is assumed to be spherical
- Nevertheless, the BCS results (which include only a very few adjustable parameters) are amazingly close to the real world





Is there a state of lower energy than the normal state?

$$a_q = 0, \ b_q = 1$$
 for $\xi_q < 0$
 $a_q = 1, \ b_q = 0$ for $\xi_q > 0$

--

yes:
$$2b_q^2 = 1 - \frac{\xi_q}{\sqrt{\xi_q^2 + \Delta_0^2}}$$







where

$$\Delta_0 = \frac{\hbar \omega_D}{\sinh\left[\frac{1}{\rho(0)V}\right]} \simeq 2\hbar \omega_D \ e^{-\frac{1}{\rho(0)V}}$$





Critical temperature

$$kT_{c} = 1.14 \hbar \omega_{D} \exp \left[-\frac{1}{VN(E_{F})}\right]$$
$$\Delta(0) = 1.76 kT_{c}$$

element	Sn	In	T1	Ta	Nb	Hg	Pb
$\Delta(0)/k_BT_c$	1.75	1.8	1.8	1.75	1.75	2.3	2.15

Coherence length (the size of the Cooper pairs)

$$\xi_0 = .18 \frac{\hbar v_F}{kT_c}$$





BCS Condensation Energy

Condensation energy:
$$E_s - E_n = -\frac{\rho(0)V\Delta_0^2}{2}$$

 $\approx -N\Delta_0 \left(\frac{\Delta_0}{\varepsilon_F}\right) = \frac{H_0^2}{8\pi}$
 $\Delta_0 / k \approx 10K$
 $\varepsilon_F / k \approx 10^4 K$





BCS Energy Gap

At finite temperature:

Implicit equation for the temperature dependence of the gap:

$$\frac{1}{V\rho(0)} = \int_{0}^{\hbar\omega_{D}} \frac{\tanh\left[\left(\varepsilon^{2} + \Delta^{2}\right)^{1/2} / 2kT\right]}{\left(\varepsilon^{2} + \Delta^{2}\right)^{1/2}} d\varepsilon$$







BCS Excited States

Energy of excited states:

$$\varepsilon_{\mathbf{k}} = 2\sqrt{\xi_k^2 + \Delta_0^2}$$





FIGURE 2-4

Density of states in superconducting compared to normal state. All \mathbf{k} states whose energies fall in the gap in the normal metal are raised in energy above the gap in the superconducting state.





BCS Heat Capacity

Heat capacity



Fig. 22. Reduced electronic specific heat in superconducting vanadium and tin. [From Biondi et al., (150).]





Electrodynamics and Surface Impedance in BCS Model

$$\begin{split} H_0 \phi + H_{ex} \ \phi &= i\hbar \frac{\partial \phi}{\partial t} \\ H_{ex} &= \frac{e}{mc} \sum A(r_i, t) \, p_i \\ H_{ex} \ \text{ is treated as a small perturbation} \end{split}$$

$$H_{rf} << H_c$$

There is, at present, no model for superconducting surface resistance at high rf field

$$J \propto \int \frac{R[R \cdot A] I(\omega, R, T) e^{-\frac{R}{l}}}{R^4} dr$$
$$J(k) = -\frac{c}{4\pi} K(k) A(k)$$
$$K(0) \neq 0: \text{ Meissner effect}$$

similar to Pippard's model





Penetration Depth



Fig. 30. Temperature dependence of $d\lambda/dy$ for tin obtained by Schawlow and Devlin (207) compared with the theoretical curve obtained from the BCS theory.





Surface Resistance

 $\frac{t^4}{\left(1-t^2\right)^{3/2}}$

Temperature dependence

-close to T_c : dominated by change in $\lambda(t)$

-for
$$T < \frac{T_c}{2}$$
: dominated by density of excited states ~ $e^{-\Delta/kT}$
 $R_s \sim \frac{A}{T}\omega^2 \exp\left(-\frac{\Delta}{kT}\right)$

Frequency dependence

 ω^2 is a good approximation



Figure 4.5: Theoretical surface resistance at 1.5 GHz of lead, niobium and Nb₃Sn as calculated from program [94]. The values given in Table 4.1 were used for the material parameters.



Fig. 1. Measured values of the surface resistance ratio r of superconducting aluminum as a function of the reduced temperature t at several representative wavelengths. The wavelengths and corresponding photon energies are indicated on the curves [After Biondi and Garfunkel (15).]





Surface Resistance



Fig. 2. Temperature dependence of surface resistance of niobium at 3.7 GHz measured in the TE_{011} mode at $H_{rf} \simeq 10$ G. The values computed with the BCS theory used the following material parameters:

 $T_c = 9.25 \text{ K}; \qquad \lambda_L(T = 0, l = \infty) = 320 \text{ Å}; \\ \Delta(0)/kT = 1.85; \quad \xi_F(T = 0, l = \infty) = 620 \text{ Å}; \quad l = 1\,000 \text{ Å or } 80 \text{ Å}.$



Fig. 5. The surface resistance of Nb at 4.2 K as a function of frequency [62,63]. Whereas the isotropic BCS surface resistance $(-\cdot - \cdot)$ resulted in $R \propto \omega^{1.8}$ around 1 GHz, the measurements fit better to ω^2 (---). The solid curve, which fits the data over the entire range, is a calculation based on the smearing of the BCS density-of-states singularity by the energy gap anisotropy in the presence of impurity scattering [61]. The authors thank G. Müller for providing this figure.



