# **Basics of Magnetism**

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A School on Basics of Magnetism and Investigations of Magnetic Properties of Materials using Synchrotron Radiation, March 24–28, 2014, Raja Ramanna Centre for Advanced Technology, Indore

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# Plan of the lectures (Ambitious???)

History of Magnetism Diamagnetism Paramagnetism

Ferromagnetism Weiss' "molecular field" theory Heisenberg's theory Bloch's Spin-wave theory Band theory Magnetic anisotropies Ferromagnetic domains

Magnetism(Contd.)

Indirect exchange: RKKY, Super-exchange, Spin glass

R & D in Magnetic Materials after 1973

Magnetoresistance (MR)/(OMR)/(AMR) Giant magnetoresistance (GMR)/Spin-valve structure Tunnel magnetoresistance (TMR) Colossal magnetoresistance (CMR)

Nano-magnetism/ Superparamagnetism

# History of Magnetism

The study of magnetism started with the discovery of the Lodestone (or Magnetite  $Fe_3O_4$ ) around 500-800B.C. in Greece & China.

*Lodestones* attract pieces of iron and the attraction can only be stopped by placing between them an iron plate, which acts as a *Magnetic Shield*.

The directional property of the *Lodestone* was utilized to design *"Compass",* which was invented around 100A.D. in China.

Theoretical understanding of magnetism came **only** in the 19<sup>th</sup> century along with some basic applications .

## <u>Diamagnetism</u>

The weakest manifestation of magnetism is **Diamagnetism**  $\Rightarrow$ 

# Change of orbital moment of electrons due to applied magnetic fields.

The relevant parameter which quantifies the strength of magnetism is the *Magnetic Susceptibility*,  $\chi$ , which is defined as  $\chi = Lt_{H\rightarrow 0} (dM/dH)$ .

Diamagnetism arises from two basic laws of Physics, viz.,

#### Faraday's law & Lenz's law:

An electron moves around a nucleus in a circle of radius, r.

A magnetic field **H** is applied. The induced electric field, **E(r)**, generated during the change is

E(r)  $2\pi r = -(1/c) d/dt (H \pi r^2)$ 

or, E(r) = -(r/2c) dH/dt.

This E(r) produces a force & hence a torque = -e E(r) r= dL/dt = (e r<sup>2</sup>/2c) dH/dt, (e > 0).

The extra  $\Delta L(L)$  was already there for the orbital moion) due to the turning of the field = (e r<sup>2</sup>/2) H. The corresponding moment  $\mu$ = - (e/2mc)  $\Delta L$  = (e<sup>2</sup> r<sup>2</sup>/4mc<sup>2</sup>) H, r<sup>2</sup> = x<sup>2</sup> + y<sup>2</sup>.

For N atoms per unit volume with atomic no. Z, the *Magnetic Susceptibility* 

 $\chi = - (Ne^2 Z < r^2 >_{av}) / 6mc^2.$ 

QM treatment also produces the same answer!!!

 $\chi$  is **negative**  $\approx$  - 10<sup>-6</sup> in cgs units in the case of typical diamagnetic materials. In SI units it is - 4 $\pi$  x 10<sup>-6</sup>.

## **QM** treatment

The Hamiltonian of a charged particle in a magnetic field **B** is

H = (**p** –e**A**/c)<sup>2</sup>/2m + eφ, **A** = vector pot, φ = scalar pot, valid for both classical & QM.

K.E. is not dependent on **B**, so it is unlikely that **A** enters H. But

**p** = **p**<sub>kin</sub> + **p**<sub>field</sub> = m**V** + e**A**/c (C. Kittel, *ISSP (7th Ed.)* App. G, P: 654)

& K.E. =  $(mv)^2/2m = (p - eA/c)^2/2m$ , where  $B = \nabla X A$ .

So, **B**-field-dependent part of H is ieh/ $4\pi$ mc[ $\nabla$ . **A** + **A**. $\nabla$ ] + e<sup>2</sup> A<sup>2</sup>/2mc<sup>2</sup>.(1)

### **QM** treatment

If **B** is uniform and II z-axis,  $A_X = -\frac{1}{2} y B$ ,  $A_Y = \frac{1}{2} x B$ , and  $A_Z = 0$ .

So, (1) becomes H = ieh/4
$$\pi$$
mc(x  $\partial/\partial y$  - y  $\partial/\partial x$ ) + e<sup>2</sup>B<sup>2</sup>/8mc<sup>2</sup>(x<sup>2</sup> + y<sup>2</sup>)B.  
 $\downarrow$   $\downarrow$   $\downarrow$   
L<sub>Z</sub>  $\Rightarrow$  Orbital PM E' = e<sup>2</sup>B<sup>2</sup>/12mc<sup>2</sup> 2> by 1st  
order perturbation theory.

M = - $\partial E'/\partial B$  = - (Ne<sup>2</sup>Z<r<sup>2</sup>><sub>av</sub>)/6mc<sup>2</sup> B for N atoms/volume with atomic no. Z.

Since B = H for non-magnetic materials

 $\chi = Lt_{H\rightarrow 0} (dM/dH) = - (Ne^2Z < r^2 >_{av})/6mc^2$  independent of T

> M(T) shows negative and temperature independent magnetization.

#### Example: Calculate the diamagnetic susceptibility of a mole of atomic hydrogen.

Ground state wave-function of hydrogen is  $\Psi = 1/(\pi a_0^3)^{1/2} \exp(-r/a_0)$ where  $a_0$  = Bohr radius. If |0> is the ground state wave-function

$$_{av} = <0|r^{2}|0> = \int \Psi^{*} r^{2} \Psi d^{3}r = 1/(\pi a_{0}^{3}) \int \exp(-2r/a_{0}) r^{2} 4\pi r^{2} dr$$
$$= (4/a_{0}^{3}) (a_{0}/2)^{5} \int_{0}^{\infty} x^{4} e^{-x} dx = (4/a_{0}^{3}) (a_{0}/2)^{5} 4! = 3a_{0}^{2} (Putting 2r/a_{0} = x).$$

 $\chi$  = - (Ne<sup>2</sup>Z<r<sup>2</sup>><sub>av</sub>)/6mc<sup>2</sup>. For hydrogen Z = 1, taking N as the Avogadro

number, for a mole of hydrogen  $\chi$  = -  $Ne^2a_0^{\ 2}/2mc^2$ 

#### *Some applications:*

- > Meissner effect in a superconductor:  $\chi$  as high as -1 below T<sub>C</sub>
- **\bigcirc** perfect diamagnetism to make B = 0 *inside* (flux expulsion) below H<sub>C1</sub>.
- Substrates of present day magnetic sensors are mostly diamagnetic like Si,8 sapphire, etc.

Atoms/molecules in solids/liquids with odd no of electrons(S $\neq$ 0): free Na atoms with partly filled inner shells, metals (Pauli), etc. contribute to **electron** paramagnetism.

Free atoms/ions with partly filled inner shells, e.g., Mn<sup>2+</sup>, Gd<sup>3+</sup>, U<sup>4+</sup> show **ionic** paramagnetism.

A collection of magnetic moments, **m**, interact with external magnetic field **H**: Interaction energy  $U = -m \cdot H$ .

Magnetization results from the orientation of the magnetic moments but thermal disorders disturb this orientation.

The energy levels, according to quantum mechanics, of an assembly of N magnetic ions/vol., each of spin **S** and a Lande factor of g in a magnetic field of H<sub>0</sub>, is given by  $\epsilon_m = g \mu_B m H_0$  where  $-S \le m \le +S$ .

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Partition function  $Z = \Sigma_m \exp(-g \mu_B m H_0/k_B T) = \Sigma_m \exp(-m x)$ where  $x = g \mu_B H_0/k_B T$ ,  $\mu_B = Bohr magneton = eh/(2\pi)2mc$ .  $Z = sinh\{(2S+1)x/2\}/sinh(x/2)$ 



➢ In this <m> vs. H/T plot paramagnetic saturation is observed only at very high H & low T, i.e. x >>1when *coth*  $x \rightarrow 1$  and <m > → Ngµ<sub>B</sub>S.

- At 4 K & 1tesla, <m> ~ 14 % of its saturation value.
- For ordinary temperature like 300 K & 1 T field,  $x \ll 1$ . Then *coth*  $x \rightarrow 1/x + x/3$  and

SO

$$< m > \rightarrow \left( \frac{g\mu_B S(S+1)}{3k_B T} \right) H \qquad Ng\mu_B.$$

**Thus** <m> varies linearly with field.

 $< m > = Ng\mu_BS[coth X- 1/X] = L(X) = Langevin function when S \rightarrow \infty$ , X = Sx. 10

#### So the *Magnetic Susceptibility*, $\chi$ at temperature T is $\chi = (N g^2 \mu_B^2 S(S+1)/3k_B)^* 1/T$ = C/T,

where **N**= No. of ions/vol, *g* is the Lande factor,  $\mu_B$  is the Bohr magneton and *C* is the *Curie constant*. This is the famous *Curie law for paramagnets*.

#### Some applications:

To obtain temperatures lower than 4.2 K, paramagnetic salts like,  $CeMgNO_3$ ,  $CrKSO_4$ , etc., are kept in an isothermal 4.2 K bath & a field of, say, 1 T applied.

>>> Entropy of the system decreases & heat goes out. Finally the magnetic field is removed adiabatically.

>>> Lattice temperature drops to mK range.

Similarly microkelvin is obtained using nuclear demagnetization.

## Rare-earth ions

Fascinating magnetic properties, also quite complex in nature: Ce58: [Xe]  $4f^2 5d^0 6s^2$ ,  $Ce^{3+} = [Xe] 4f^1 5d^0 since 6s^2$  and  $4f^1$  removed Yb70: [Xe]  $4f^{14} 5d^0 6s^2$ , Yb<sup>3+</sup> = [Xe]  $4f^{13} 5d^0 since 6s^2$  and  $4f^1$  removed Note: [Xe] = [Kr]  $4d^{10} 5s^2 5p^6$ In trivalent ions the outermost shells are identical  $5s^2 5p^6$  like neutral Xe.

In La (just before RE) 4f is empty, Ce<sup>+++</sup> has one 4f electron, this number increases to 13 for Yb and 4f<sup>14</sup> at Lu, the radii contracting from 1.11 Å (Ce) to 0.94 Å (Yb)  $\rightarrow$  *Lanthanide Contraction.* The number of 4f electrons compacted in the inner shell with a radius of 0.3 Å is what determines the magnetic properties of these RE ions. The atoms have a (2J+1)-fold degenerate ground state which is lifted by a magnetic field.

$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	1															18			
1.01       2       13       14       15       16       17       4.00         3       4       15       16       17       4.00         4       Li       Be       6.94       9.01       9.01       5       6       7       8       9       1.0         11       12       Be       Elements       13       14       15       16       17       4.00         22.99       24.30       3       4       5       6       7       8       9       10       11       12       26.98       28.09       30.97       32.07       35.45       39.95         19       20       21       22       23       24       25       26       27       28       29       30       31       32       33       34       35       39.95         19       20       21       22       23       24       25       26       27       28       29       30       31       32       33       34       35       39.95         19       20       21       22       23       24       25       26       27       28       29       30       31	Н	H Periodic Table															2 He		
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#### **Rare**–earth ions

#### In a Curie PM

$$\chi = \frac{NJ(J+1)g^2\mu_B^2}{3\kappa_B T} = \frac{C}{T} = \frac{Np^2\mu_\beta^2}{3\kappa_B T},$$

where p = effective Bohr Magnetron number

$$= g[J(J+1)],$$

g = Lande factor = 
$$1 + \frac{J(J+1) + S(S+1) - L(L+1)}{2J(J+1)}$$
.

'p' calculated from above for the  $RE^{3+}$  ions using Hund's rule agrees very well with experimental values except for  $Eu^{3+}$  &  $Sm^{3+}$ .

## Hund's rules

Formulated by Friedrich Hund, a German Physicist around 1927. The ground state of an ion is characterized by:

1. Maximum value of the total spin S allowed by Pauli's exclusion principle.

2. Maximum value of the total *orbital* angular momentum L consistent with the total value of S, hence PEP.

3. The value of the *total* angular momentum J is equal to |L-S| when the shell is less than half full & |L+S| when the shell is more than half full.

When it is just half full, the first rule gives L = 0 and so J = S.

Explanation 1<sup>st</sup> rule: It's origin is Pauli's exclusion principle and Coulomb repulsion between electrons.

- ↑↑ are separated more and hence have less positive P.E. than for
- ↑↓ electrons. Therefore, all electrons tend to become ↑↑ giving maximum S.

Explanation of 2<sup>nd</sup> rule: Best approached by model calculations.

**Example of rules 1 & 2**:  $Mn^{2+}:Mn \; 3d^5 4s^{2} \; Mn^{2+} \; 3d^5$  (half- filled 3d sub shell)

All 5 spins can be  $\parallel$  to each other if each electron occupies a different orbital and there are exactly 5 orbitals characterized by orbital angular quantum nos. m<sub>l</sub> = -2, -1, 0, 1, 2. One expects S = 5/2 and so  $\sum m_l = 0$ . Therefore **L** is 0 as observed.

#### Explanation of 3<sup>rd</sup> rule:

Consequence of the sign of the spin-orbit interaction. For a single electron, energy is lowest when **S** is antiparallel to **L** (**L.S** = - ve). But the low energy pairs  $m_l$  and  $m_s$  are progressively used up as one adds electrons to the shell. By PEP, when the shell is more than half full the state of lowest energy necessarily has the **S** || **L**.

#### Examples of rule 3:

Ce<sup>3+</sup> = [Xe] 4f<sup>1</sup> 5s<sup>2</sup> 5p<sup>6</sup> since 6s<sup>2</sup> and 4f<sup>1</sup> removed. Similarly Pr<sup>3+</sup> = [Xe] 4f<sup>2</sup> 5s<sup>2</sup> 5p<sup>6</sup>. Nd<sup>3+</sup>, Pm<sup>3+</sup>, Sm<sup>3+</sup>, Eu<sup>3+</sup>, Gd<sup>3+</sup>, Tb<sup>3+</sup>, Dy<sup>3+</sup>, Ho<sup>3+</sup>, Er<sup>3+</sup>, Tm<sup>3+</sup>, Yb<sup>3+</sup> have 4f<sup>3</sup> to 4f<sup>13</sup>. Take Ce<sup>3+</sup>: It has one 4f electron, an f electron has I = 3, S =  $\frac{1}{2}$ , **4f shell is less than half full** (full shell has 14 electrons), by third rule = **J** = |**L** - **S**| = L - 1/2 = 5/2.

Spectroscopic notation  ${}^{2s+1}L_J \Rightarrow {}^2F_{5/2}$ [L = 0, 1, 2, 3, 4, 5, 6 are S, P, D, F, G, H, I]. Pr<sup>3+</sup> has 2 '4f' electrons: S = 1, I = 3. Both cannot have m<sub>I</sub> = 3 (PEP),

so max. L is not 6 but 5. J = 
$$|L - S| = 5 - 1 = 4$$
.  $\Rightarrow {}^{3}H_{4}$ 

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*Nd*<sup>3+</sup> has 3 '4f' electrons:S = 3/2 (first rule), I = 3 → m<sub>I</sub> = 3, 2, 1, 0,  
-1, -2, -3. L = 
$$\sum m_1 = 6$$
, J = 6 - 3/2 = 9/2  $\Rightarrow {}^4I_{9/2}$ 

 $Pm^{3+}$  has 4 '4f' electrons: S = 2, L = 6, J = L - S = 4  $\implies {}^{5}I_{4}$ 

**Exactly <sup>1</sup>/<sub>2</sub> full 4f shell:** :  $Gd^{3+}$  has 7 '4f' electrons; S = 7/2, L = 0, J = 7/2  $\Rightarrow {}^8S_{7/2}$ 

4f shell is more than half full:  $Ho^{3+}$  has 10 '4f' electrons: 7 will be  $\uparrow$ ,

3 will be  $\downarrow$ . S = 2, L = 6 [3 2 1 0 -1 -2 -3]; J = 6 + 2 = 8  $\Rightarrow {}^{5}I_{8}$  and so on.

**Note:** 4f shell is well within the inner core (localized) surrounded by  $5s^2$ ,  $5p^6$ , and  $6s^2$  & so almost unaffected by crystal field (CF). 3d transition element ions, being in the outermost shell, are affected by strongly inhomogeneous electric field, called the "crystal field" (CF) of neighboring ions in a real crystal. **L-S** coupling breaks, so states are **not** specified by **J**. (2L + 1) degenerate levels for the free ions may split by the CF and **L** is often quenched. "**p**" calculated from **J** gives total disagreement with experiments.

For details see C. Kittel, ISSP (7th Ed.) P. 423-429; Ashcroft & Mermin, P. 650-659.

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# 3d transition element ions

Details: If **E** is towards a fixed nucleus, classically all  $L_X$ ,  $L_Y$ ,  $L_Z$  are constants (fixed plane for a central force). In QM  $L_Z$  &  $L^2$  are constants of motion but in a non-central field (as in a crystal) the orbital plane is not fixed & the components of **L** are not constants & may be even zero on the average. If  $\langle L_Z \rangle = 0$ , **L** is said to be quenched.

In an orthorhombic crystal, say, the neighboring charges produce about the nucleus a potential  $V = Ax^2 + By^2 - (A + B)z^2$  satisfying Laplace equation & the crystal symmetry. For L =1, the orbital moment of all 3 energy levels have  $\langle L_Z \rangle = 0$ . The CF splits the degenerate level with separation >> what the B-field does. For cubic symmetry, there is no quadratic term in V & so p electron levels will remain triply degenerate unless there is a *spontaneous* displacement of the magnetic ion, called *Jahn-Teller effect*, which lifts the degeneracy and lowers its energy.

Mn<sup>3+</sup> has a large JT effect in manganites which produces Colossal Magnetoresistance (CMR).

For details see C. Kittel, ISSP (7<sup>th</sup> Ed.) P. 425-429; Ashcroft & Mermin, P. 655-659.

# Van Vleck Paramagnetism

Examples: EuO, EuF, EuBO where CW-law fails.

If an atomic or molecular system has no moment in the ground state,

 $\left|\left\langle 0\left|m_{s}\right|0\right\rangle\right|=0$ 

Suppose a non-diagonal matrix element  $\langle s|m_s|0\rangle$  of the magnetic moment operator connecting the ground state  $|0\rangle$  to the excited state  $\langle s| \neq 0$ .

Then 2<sup>nd</sup> order perturbation theory gives the perturbed GS wave function  $\Psi_0 = \Psi_0 + \left(\frac{B}{\Delta}\right) \langle s | m_s | 0 \rangle \Psi_s$  in the weak-field approximation  $\mu_s B \ll \Delta$ , where  $\Delta = E_s - E_0$ . Similarly for the ES  $\Psi_s = \Psi_s - \left(\frac{B}{\Delta}\right) \langle 0 | m_s | s \rangle \Psi_0$ . Perturbed GS has a moment  $\langle o' | m_s | o' \rangle \sim +2B |\langle s | m_s | o \rangle|^2 / \Delta$ . Perturbed ES has a moment  $\langle s' | m_s | s' \rangle \sim -2B |\langle s | m_s | o \rangle|^2 / \Delta$ . Excess population in GS (with  $\mathbf{x} = \Delta/k_B T$ ) = N[(exp(x)-1)/(exp(x)+1)] **Case 1. High-T:**  $\Delta \ll k_B T$ ,  $\mathbf{x} \to 0$ , Excess  $\sim Nx/2 = N \Delta/2k_B T$  20

# Van Vleck Paramagnetism

Resultant magnetization is 
$$M = \frac{2B|\langle s|m_s|0\rangle|^2}{\Delta} \frac{N\Delta}{2k_BT}$$
  
&  $\chi = \lim_{\beta \to 0} \frac{dM}{dB} = N|\langle s|m_s|0\rangle|^2 / k_BT = \frac{C}{T}$ , N = no. of molecules/volume.

**Looks like a Curie paramagnet.** The magnetization here is connected to the field-induced electronic transition whereas for free spins (Curie) M is due to the redistribution of ions among the 2S+1 spin states. It is independent of  $\Delta$ .

**Case 2. Low-T:**  $\Delta >> k_B T$   $x \to \infty$ , Excess  $\to N$ 

Here the population is nearly all in the ground state and

$$M = \frac{2NB|\langle s|m_s|0\rangle|^2}{\Delta} \qquad [\text{ no } \frac{\Delta}{2k_BT} \text{ fraction here ] and}$$
$$\chi = \frac{2N|\langle s|m_s|0\rangle|^2}{\Delta}, \text{ independent of T.}$$
(just like a diamagnet but with + ve  $\chi$ , hence a PM).

C. Kittel, ISSP (7th Ed.) P. 430.

# Pauli Paramagnetism

## Pauli paramagnetism in metals

Paramagnetic susceptibility of a free electron gas: There is a change in the occupation number of up & down spin electrons even in a non-magnetic metal when a magnetic field B is applied.



The magnetization is given by ( $T \leq T_F$ )

 $M = \mu (N_{up} - N_{down}) = \mu^2 D (\epsilon_F) B, D (\epsilon_F) = 3N/2\epsilon_F.$   $\therefore \chi = 3N \mu^2 / 2\epsilon_F, \text{ independent of temperature,}$ where D (\epsilon\_F) = Density of states at the Fermi level \epsilon\_F.

Any theory of ferromagnetism has to explain:

i) Existence of spontaneous magnetization  $\mathbf{M}$  below  $\mathbf{T}_{c}$  (Paramagnetic to ferromagnetic transition temperature).

ii) Below T<sub>C</sub>, a small  $H_0$  produces  $M_s$  from M ~ 0 at H = 0.

There are three major theories on ferromagnetism:

1. Weiss' "molecular field" theory

2. Heisenberg's theory

3. Bloch's spin-wave theory (T  $\leq T_{c}$ ).

R. M. Bozorth, A survey of the theory of ferromagnetism, Rev. Mod. Phys. 17, No. 1 (1945).

## Weiss' "molecular field" theory

Weiss proposed that:

i) Below **TC** spontaneously magnetized domains, randomly oriented give  $M \sim 0$  at H = 0. A small  $H_0$  produces domain growth with **M** ||  $H_0$ .

ii) A very strong "molecular field",  $\rm H_{\rm E}$  of unknown origin aligns the atomic moments within a domain.

Taking alignment energy ~ thermal energy below Tc, For Fe:  $H_E = k_B T_C / \mu \sim 107 \text{ gauss} \sim 10^3 \text{ T} \text{ !!!}$ 

 $E_{d-d} \sim [\mu_1, \mu_2 - (\mu_1, r_{12}) (\mu_2, r_{12})]/4\pi\epsilon_0 r^3.$ 

Classical dipole-dipole interaction gives a field of  $\sim 0.1$  T only & is anisotropic but ferromagnetic anisotropy is only a second order effect.

#### So ???

Weiss postulated that  $H_E = \lambda M$ , where  $\lambda$  is the molecular field parameter and M is the saturation magnetization.

## **Curie-Weiss law**



 $\ge T$ 

# Temperature Dependence of Saturation Magnetization below CurieTemperature $(T_C)$

Using molecular field approximation Magnetization M = Ngµ<sub>B</sub> [(S+1/2)coth(S+1/2)x) - 1/2coth(x/2)] = Ngµ<sub>B</sub>F<sub>S</sub>(x) (1) with x = gµ<sub>B</sub>H<sub>0</sub>/k<sub>B</sub>T. In ferromagnetism, M  $\neq$  0 even when H<sub>0</sub> = 0. So, here x = gµ<sub>B</sub> $\lambda$ M/k<sub>B</sub>T. Or, M = k<sub>B</sub>Tx/gµ<sub>B</sub> $\lambda$ . (2) Its slope determined by temperature T. The point of intersection, P of (1) & (2) gives M at a given T.



*Low-temperature Magnetization at T << T<sub>C</sub>* 

Here  $x = g\mu_B \lambda M/k_BT >> 1$ (very large) & coth  $x \sim 1 + 2 \exp(-2x)$ .

$$\begin{split} M &= Ng\mu_{B} \left[ (S+1/2) \coth(S+1/2)x \right) - 1/2 \coth(x/2) \right] \\ &\sim Ng\mu_{B} \left[ (S+1/2)(1+2 \exp(-2(S+1/2)x)) - \frac{1}{2}(1+2\exp(-x)) \right] \\ &\sim Ng\mu_{B} S[1-1/S \exp(-g\mu_{B} \lambda M(0)/k_{B}T)]. \end{split}$$

Since  $\lambda = T_C/C$  and  $C = Ng^2 \mu_B^2 S(S+1)/3k_B$ 

 $M(T)/M(0) = 1 - (1/S) \exp[-(3/(S+1))(T_C/T)] \sim 1 - e^{-1/T}$ 



Experiments show that the above exponential variation, as shown, holds good for  $T/T_C > 0.5$  but at lower temperatures it is a power-law variation instead. This discrepancy is very well explained by Bloch's spin-wave theory.

### **Heisenberg's Theory (Exchange effect)**

The origin of the molecular field was unknown to Weiss.

Heisenberg found the origin of Weiss' "molecular field" in the "quantum mechanical exchange effect", which is basically electrical in nature. Electron spins on the same or neighboring atoms tend to be coupled by the exchange effect – a consequence of Pauli's Exclusion Principle (PEP). If  $u_i$  and  $u_j$ are the two wave-functions into which we "put 2 electrons", there are two types of states that we can construct according to their having antiparallel or parallel spins. These are

$$\Psi(\mathbf{r}_{1}, \mathbf{r}_{2}) = 1/\sqrt{2} [\mathbf{u}_{i}(\mathbf{r}_{1}) \mathbf{u}_{j}(\mathbf{r}_{2}) \pm \mathbf{u}_{j}(\mathbf{r}_{1}) \mathbf{u}_{i}(\mathbf{r}_{2})],$$

where  $\pm$  correspond to space symmetric/antisymmetric (spin singlet, S = 0/spin triplet, S=1) states. Singlet: spin anisymmetric: S = 0:  $(\uparrow \downarrow - \downarrow \uparrow)/\sqrt{2}$ , m = 0. Triplet: spin symmetric: S = 1:  $\uparrow \uparrow$  m = 1;  $\downarrow \downarrow$  m = -1; 28  $(\uparrow \downarrow + \downarrow \uparrow)\sqrt{2}$ , m = 0.

Also, if you exchange the electrons between the two states, i.e., interchange  $r_1$  and  $r_2$ ,  $\Psi(-)$  changes sign but  $\Psi(+)$  remains the same. If the two electrons have the same spin(||), they cannot occupy the same **r**. Thus  $\Psi(-) = 0$  if  $\mathbf{r}_1 = \mathbf{r}_2$ . Now if you calculate the average of the Coulomb energy  $e^2/|\mathbf{r}_{12}||$  in these two states we find them different by

$$J_{ij} = \int u_i^*(\vec{r_1}) u_j^*(\vec{r_2}) \frac{e^2}{\left|\vec{r_i} - \vec{r_j}\right|} u_j(\vec{r_1}) u_i(\vec{r_2}) d\vec{r_1} d\vec{r_2} \quad (1)$$

which is the "exchange integral".

#### **Exchange Hamiltonian**

In a 2-electron system (hydrogen molecule) we have to use antisymmeteric

(AS) total wave-function due to PEP. Thus the spatial wave-function must be either symmetric (S) [singlet] or AS [triplet] corresponding to AS or S spin functions. The energy is given by

$$\mathbf{E} = \mathbf{K}_{ij} \pm \mathbf{J}_{ij}, \qquad (2)$$

where +/- sign corresponds to S/AS spatial wave functions.

 $K_{ij}$  is a combination of kinetic and potential energy integrals while  $J_{ij}$  is the exchange integral given by Eq. (1) above.  $J_{ij}$  can be shown to be positive 29 for well-behaved functions.

 $S_i \& S_j$  are two spins at the lattice sites i and j (one valence electron/atom).

$$S_{i+j} = S_i + S_j, \qquad S_{i+j}^2 = S_i^2 + S_j^2 + 2 S_i, S_j,$$
  

$$s_{i+j}(s_{i+j}+1) = s(s+1) + s(s+1) + 2 S_i, S_j,$$
  

$$s_{i+j} = 0 \text{ (singlet) and 1(triplet), s } (s+1) = \frac{3}{4}.$$

Therefore, the eigenvalue of  $2 \mathbf{S}_i$ .  $\mathbf{S}_j = -3/2$  (singlet) and 1/2 (triplet) and hence that of  $\frac{1}{2} + 2 \mathbf{S}_i$ .  $\mathbf{S}_j = -1$  (singlet) and +1 (triplet).

Thus, Eq.(2) can be thought of as the eigenvalue of a "spin Hamiltonian"  $H_{ij} = K_{ij} - (\frac{1}{2} + 2 \mathbf{S}_i, \mathbf{S}_j) \mathbf{J}_{ij}.$ So, the "exchange Hamiltonian" is taken as

$$H_e = -2 S_i \cdot S_j J_{ij}$$
 (3)

We have omitted  $-\frac{1}{2} J_{ij}$  since it does not depend on spin orientation & hence does not play any role in magnetism. H<sub>e</sub> is isotropic, in agreement with experiments showing ferromagnetic anisotropy (dipole-dipole interaction) as a second-order effect.

- Heisenberg gave the exchange Hamiltonian the form  $H_e = -2J_{ij}\vec{S}_i \cdot \vec{S}_j$ which is isotropic.

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 $\bullet$  In the presence of a field H<sub>0</sub>, the Hamiltonian becomes

$$H = -g\mu_{B}H_{0}\sum_{i}S_{i}^{Z} - 2\sum_{\substack{i,j\\i\neq j}}J_{ij}\vec{S}_{i}\vec{S}_{j}$$

Assuming nearest neighbour exchange interaction,  $J_{ij} = J \& S_i = S$ we get the Hamiltonian  $H = -g\mu_B H_0 NS - \frac{1}{2} \{2JNZ(S)^2\} = -MH_0 - JNZ(S)^2$ where Z = no. of N.N's, N = no. of atoms/vol,  $M = Ng\mu_B S$ . The 1<sup>st</sup> term is due to the external field while the second is due to the Weiss field  $H_{E}$ . So, H can also be

written as  $H = -M(H_0 + \frac{1}{2} H_E)$ .

Simple algebra gives using  $T_C = C \lambda$ ,  $C = N g^2 \mu_B^2 S(S+1)/3k_B$  in  $H = -M(H_0 + \frac{1}{2} H_E)$ ,

 $\lambda = (2JZ/Ng^2\mu_B^2)$  &  $J = (3k_BT_C/2ZS(S+1)) \sim 12$  meV for Fe with S = 1.

Taking  $S = \frac{1}{2}$ , one gets  $(k_B T_C / ZJ) = 0.5$  from mean-field theory

## Bloch's Spin-wave Theory (T << Tc)

In the ground state of a FM (at 0 K) the atoms at different lattice sites have their maximum z-component of spin,  $S_i^z = S$ .

As T increases the system is excited out of its ground state giving rise to sinusoidal *"Spin Waves"*, as shown below.



- > The amplitude of this wave at  $j^{th}$  site is proportional to  $S-S_i^z$ .
- The energy of these spin waves is quantized and the energy quantum is called a "magnon".

Considering nearest neighbour interaction and Zeeman energy, the Hamiltonian is written as

$$H = -J \sum_{j,\delta} \vec{S}_{j} \cdot \vec{S}_{j+\delta} - g \mu_{B} H_{0} \sum_{j} S_{j}^{Z}, \qquad (18)$$

where  $\delta$  is a vector joining j<sup>th</sup> atom to its nearest neighbours. The total spin and its z-component, i.e.,

$$\mathbf{S}^2 = \left(\sum_j \vec{S_j}\right)^2$$
 &  $\mathbf{S}^Z = \sum_j S_j^Z$  are constants of motion.

In the ground state all spins are parallel:

$$\vec{\mathbf{S}} = N\vec{S},$$

:  $\mathbf{S}^2 | 0 > = NS(NS + 1) | 0 >; \quad \mathbf{S}^Z | 0 > = NS | 0 >.$ 

The spin-waves are treated as quantized particles subject to creation and annihilation operators for Bosons. The spin deviation operator is defined as  $\eta_j = S - S_j^Z$ . The eigenstates  $\Psi$  of H in (18) satisfying H  $\Psi = E \Psi$  can be expanded in terms of the eigenstates of these spin deviation operators as

$$\Psi = \sum_{n_1, \dots, n_N} b(n_1, \dots, n_N) \varphi_{n_1, \dots, n_N}$$

where 
$$\eta_j \varphi_{n_1, \dots, n_N} = n_j \varphi_{n_1, \dots, n_N}$$

Here  $n_i$  is the eigenvalue of the spin deviation operator  $\eta_i$  of the *j*<sup>th</sup> atom.

#### The next 9 slides could be summarized as follows:

The spin raising and lowering operators  $S_j^{\pm} = S_j^{\times} \pm i S_j^{\vee}$  operate on the eigenstates of the spin deviation operator  $\eta_j$ . The spin raising operator raises the z-component of the spin and hence lowers the spin deviation and vice-versa. Then one defines Boson creation  $(a_j^+)$  and annihilation  $(a_j)$ operators as well as the number operator  $(a_j^+a_j)$  in terms of  $S_j^{\pm}$  and  $S_j^{z}$ . These relations are called Holstein-Primakoff transformation. Then one makes a transformation of  $S_j^{\pm}$  and  $S_j^{z}$  in terms of magnon creation and annihilation operators,  $b_k^+$  and  $b_k$ . **K** is found from the periodic boundary condition.  $b_k^+b_k$  is the magnon number operator with eigenvalue  $n_k$  for the magnon state **K**.

Finally, the energy needed to excite a magnon in the state K, in the case of a simple cubic crystal of nearest neighbor distance "a" is

$$(h/2\pi)\omega_{k} = g \mu_{B} H_{0} + 2 J S a^{2} k^{2}$$
.

This is the magnon dispersion relation where  $E \sim k^2$  like that of free electrons.

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The spin raising and lowering operators are defined as usual

$$S_j^{\pm} = S_j^{\chi} \pm i S_j^{\gamma} \tag{19}$$

We know from the theory of angular momentum that

$$S_{j}^{+} \varphi_{n_{j}} = \sqrt{\left(S - S_{j}^{Z}\right)\left(S + S_{j}^{Z} + 1\right)} \varphi_{n_{j}-1}$$

$$S_{j}^{-} \varphi_{n_{j}} = \sqrt{\left(S + S_{j}^{Z}\right)\left(S - S_{j}^{Z} + 1\right)} \varphi_{n_{j}+1}$$
(20)

It is to be noticed that the spin raising operator raises the Z-component, i.e., it lowers the spin deviation of & vice versa.

Boson creation and annihilation operators are defined as
$$a_j^+ \varphi_{n_j} = \sqrt{n_j + 1} \quad \varphi_{n_j+1}$$
$$a_j \varphi_{n_j} = \sqrt{n_j} \quad \varphi_{n_j-1}$$
(21)

satisfying the commutation relation

$$\left[a_{j} \; , a_{j^{\,\prime}}^{+}
ight] = \delta_{jj^{\,\prime}}$$

The number operator  $\eta_j$ 

 $\eta_j = a_j^+ a_j = S - S_j^Z \quad -$ 

1 /

From (19), (20) and (21) we can deduce,

$$S_j^+ = (2S)^{1/2} \left(1 - \frac{a_j^+ a_j}{2S}\right)^{1/2} a_j$$
 (22)

$$S_{j}^{-} = (2S)^{1/2} a_{j}^{+} \left(1 - \frac{a_{j}^{+}a_{j}}{2S}\right)^{1/2}$$
(23)  
$$S_{j}^{Z} = S - a_{j}^{+} a_{j}$$
(24)

Eqs. (22)-(24) are known as Holstein-Primakoff transformation.<sup>37</sup>

It is better to transform  $a_j^+$ ,  $a_j$  (atomic) to the spin-wave variable  $b_K^+$ ,  $b_K^-$  as

$$b_k = \frac{1}{\sqrt{N}} \sum_j e^{i\vec{K}.\vec{R}_j} a_j$$
;  $b_k^+ = \frac{1}{\sqrt{N}} \sum_j e^{-i\vec{K}.\vec{R}_j} a_j^+$ ,

where  $\mathbf{R}_{j}$  is the position vector of the  $j^{th}$  atom. The inverse transformation gives

$$a_{j} = \frac{1}{\sqrt{N}} \sum_{K} e^{-i\vec{K}.\vec{R}_{j}} b_{k} ; a_{j}^{+} = \frac{1}{\sqrt{N}} \sum_{K} e^{i\vec{K}.\vec{R}_{j}} b_{k}^{+}.$$
 (25)

The subscript K's are all vectors.

It is easy to show that,

$$[\mathbf{b}_{K}, \mathbf{b}_{K'}^{,+}] = \delta_{KK'}^{,}$$

 $b_{K}^{+}$  is the magnon creation operator while  $b_{K}$  is the magnon annihilation operator. The K-values are determined from periodic boundary condition.

Now we want to express  $S_j^+$ ,  $S_j^-$ , &  $S_j^Z$  in terms of  $b_K$ 's and consider only low lying energy levels for which

$$\langle a_j^+ a_j \rangle / S = \langle n_j \rangle / S \ll 1$$
 (26)

This means almost all the spins are lined in the same direction (low temperature). Under condition (26) we can expand (22), (23) & (24) and substitute the  $a_j$ 's by  $b_K$ 's from (25).

$$S_{j}^{+} = (2S)^{\frac{1}{2}} \left[ a_{j} - a_{j}^{+} a_{j} a_{j} / 4S + \dots \right]$$
$$= \frac{(2S)^{\frac{1}{2}}}{\sqrt{N}} \left[ \sum_{K} e^{-i \vec{K} \cdot \vec{R}_{j}} b_{K} - (4SN)^{-1} \sum_{K, K', K''} e^{-i(\vec{K} - \vec{K}' - \vec{K}'') \cdot \vec{R}_{j}} b_{K}^{+} b_{K} b_{K''} + \cdots \right]_{39}$$

$$S_{j}^{-} = (2S)^{\frac{1}{2}} \left[ a_{j}^{+} - a_{j}^{+} a_{j}^{+} a_{j}^{+} / 4S + \dots \right]$$
$$= \frac{(2S)^{\frac{1}{2}}}{\sqrt{N}} \left[ \sum_{K} e^{i \vec{K} \cdot \vec{R}_{j}} b_{K}^{+} - (4SN)^{-1} \sum_{K, K', K''} e^{i(\vec{K} + \vec{K}' - \vec{K}'') \cdot \vec{R}_{j}} b_{K}^{+} b_{K''}^{+} b_{K''}^{+} + \cdots \right]$$

$$S_j^Z = S - a_j^+ a_j = S - N^{-1} \sum_{K,K'} e^{i(\vec{K} - \vec{K}').\vec{R}_j} b_K^+ b_{K'} + \cdots$$

The spin deviation operator for the whole system is

$$\sum_{j} S - \sum_{j} S_{j}^{Z} = NS - \sum_{j} S_{j}^{Z} = \sum_{j} a_{j}^{+} a_{j}$$
  
=  $N^{-1} \sum_{K,K'} e^{i(\vec{K} - \vec{K}') \cdot \vec{R}_{j}} b_{K}^{+} b_{K'} = \sum_{K} b_{K}^{+} b_{K}$   
Thus,  $S^{Z} = NS - \sum_{K} b_{K}^{+} b_{K}$  (27) 40

Just as  $a_j^+ a_j$  is the Boson number operator with eigenvalue  $n_j$ ,  $b_K^+ b_K$  is magnon number operator with eigenvalue  $n_K$  for the magnon state K.

#### **Dispersion realation for magnons:**

Substituting  $S_j^+$ ,  $S_j^-$ , &  $S_j^Z$  in (18) we get,

$$H = -J \sum_{j,\delta} \left[ \frac{1}{2} S_j^+ S_{j+\delta}^- + \frac{1}{2} S_j^- S_{j+\delta}^+ + S_j^Z S_{j+\delta}^Z \right] - g\mu_B H \sum_j S_j^Z$$

For Z-nearest neighbour, considering only terms bilinear in spin variables

$$= -JNZS^{2} - \left(\frac{JS}{N}\right) \sum_{j\delta K K'} \left[ e^{-i\left(\vec{K} - \vec{K'}\right) \cdot \vec{R}_{j}} e^{i\left(\vec{K'} \cdot \vec{\delta}\right)} b_{K} b_{K'}^{+} \right]$$

$$+ e^{i(\vec{K} - \vec{K'}).\vec{R}_{j}} b_{K}^{+} b_{K'} e^{-i(\vec{K'}.\vec{\delta})} - e^{i(\vec{K} - \vec{K'}).\vec{R}_{j}} b_{K}^{+} b_{K'}$$

$$-e^{i\left(\vec{K}-\vec{K}'\right)\cdot\left(\vec{R}_{j}+\vec{\delta}\right)} b_{K}^{+} b_{K'}^{-} \left[-g\mu_{B}H_{0}NS\right]$$

$$+ g \frac{\mu_B}{N} H_0 \sum_{j K K'} e^{i \left(\vec{K} - \vec{K}'\right) \cdot \vec{R}_j} b_K^+ b_{K'}$$

Summing over j gives, (using 
$$\delta_{KK'} = \frac{1}{N} \sum_{j} e^{i(\vec{K} - \vec{K}') \cdot \vec{R}_j}$$
)

$$H = -JNZS^{2} - \left(\frac{JS}{N}\right)\sum_{\delta K} \left[Ne^{i\left(\vec{K}\cdot\vec{\delta}\right)} b_{K} b_{K}^{+}\right]$$

+ 
$$N e^{-i(\vec{K}.\vec{\delta})} b_{K}^{+} b_{K} - N b_{K}^{+} b_{K} - N b_{K}^{+} b_{K}]$$
  
-  $g\mu_{B}H_{0}NS + g\frac{\mu_{B}}{N}H_{0}\sum_{K}N b_{K}^{+} b_{K}$ 

Introducing 
$$\gamma_{K} = \frac{1}{Z} \sum_{\delta} e^{i\vec{K}\cdot\vec{\delta}}$$
 (28)  
 $H = -JNZS^{2} - g\mu_{B}H_{0}NS - JSZ \sum_{K} [\gamma_{K} b_{K} b_{K}^{+}]$   
 $+ \gamma_{-K} b_{K}^{+} b_{K} - 2 b_{K}^{+} b_{K}] + g\mu_{B}H_{0} \sum_{K} b_{K}^{+} b_{K}$ 

With a centre of symmetry  $\gamma_K = \gamma_{-K}$ 

$$H = -JNZS^{2} - g\mu_{B}H_{0}NS$$
$$- 2SZJ\sum_{K} [b_{K}^{+} b_{K}(\gamma_{K} - 1)] - JSZ\sum_{K} \gamma_{K}$$
$$\left[ \therefore \sum_{K} \gamma_{K} = 0 \right] + g\mu_{B}H_{0}\sum_{K} b_{K}^{+} b_{K}$$
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Or, 
$$H = -JNZS^{2} - g\mu_{B}H_{0}NS + 2SZJ\sum_{K} [(1 - \gamma_{K}) n_{K}] + g\mu_{B}H_{0}\sum_{K} n_{K}$$

$$H_{0}^{'} = \sum_{K} \hbar \omega_{K} n_{K} = 2SZJ\sum_{K} [(1 - \gamma_{K}) n_{K}] + g\mu_{B}H_{0}\sum_{K} n_{K}$$
(29)

where  $H_0'$  = energy above ground state. The ground state energy  $H_{G-S}$  is

$$H_{G-S} = -JNZS^{2} - g\mu_{B}H_{0}NS$$

$$\varepsilon_{K} = \hbar\omega_{K} = 2SZJ(1 - \gamma_{K}) n_{K} + g\mu_{B}H_{0} \quad (30)$$
For  $|\vec{K}.\vec{\delta}| \ll 1$ 

$$\hbar\omega_{K} = g\mu_{B}H_{0} + JS\sum_{\delta}(\vec{K}.\vec{\delta})^{2}$$

For cubic system  $\hbar \omega_K = g \mu_B H_0 + 2JS a^2 K^2$  (31)

is the magnon dispersion relation.

#### **Temperature dependence of magnetization**

Let us calculate the magnetization as a function of temperature using the magnon dispersion relation (31) and see whether it predicts the correct behaviour at low temperatures or not. The molecular field theory, by the way, failed at low temperatures.

The number of spin waves of all modes excited at a temperature T in thermal equilibrium is given by Bose-Einstein statistics

$$n = \sum_{K} \langle n_{K} \rangle = \sum_{K} \frac{1}{e^{\hbar \omega_{K}/k_{\beta}T} - 1}$$

where  $k_{\beta}$  = Boltzmann constant and the summation extends over all values of K in the first Brillouin zone allowed by periodic boundary condition. Changing summation to integration

$$n = \int_{0}^{\omega_{max}} \frac{D(\omega)d\omega}{e^{\hbar\omega/k_{\beta}T} - 1} , \qquad (32)$$

where D ( $\omega$ ) d $\omega$  = the no. of magnons between frequency  $\omega$  and  $\omega + d\omega$ . If g (K) = density of states in K-space/vol.

$$D(\omega)d\omega = g(k)d^3k = \frac{1}{(2\pi)^3} 4\pi k^2 \frac{dk}{d\omega}d\omega$$

Putting  $H_0 = 0$  in the dispersion relation (31) we get

$$\varepsilon_{K} = \hbar \omega_{K} = 2JS a^{2} K^{2} (33)$$

$$K^{2} \frac{dK}{d\omega} = K^{2} \frac{\hbar}{4JSKa^{2}} = \frac{1}{2} \left(\frac{\hbar}{2JSa^{2}}\right)^{3/2} \omega^{1/2}$$

$$D(\omega)d\omega = \frac{1}{4\pi^{2}} \left(\frac{\hbar}{2JSa^{2}}\right)^{3/2} \omega^{1/2} d\omega$$

At low temperatures  $k_{\beta}T \ll \hbar\omega_{max}$  and so we can replace the upper limit by  $\propto$ If  $x = \hbar\omega/k_{\beta}T$  $n = \frac{1}{4\pi^2} \left(\frac{k_{\beta}T}{2ISa^2}\right)^{3/2} \int_0^{\infty} \frac{x^{1/2}dx}{e^{x}-1} = \frac{1}{4\pi^2} \left(\frac{k_{\beta}T}{2ISa^2}\right)^{3/2} \xi(3/2) \Gamma(3/2)$ 

$$\xi \left(\frac{3}{2}\right) \Gamma \left(\frac{3}{2}\right) = 0.0587 \ (4\pi)^2$$
 from integral tables

$$n = 0.0587 \times \left(\frac{k_{\beta}T}{2JSa^2}\right)^{3/2}$$
 (34) 46

Each spin wave changes the total S by one unit of  $\hbar$  from (27)

$$S^{Z} = NS - \sum_{K} b_{K}^{+} b_{K} = NS - \sum_{k} \langle n_{K} \rangle = NS - n$$
$$= NS - 0.0587 \left(\frac{k_{\beta}T}{2JSa^{2}}\right)^{3/2}$$
From (34)

The saturation magnetization at a temperature T is given by

$$M_{S}(T) = g\mu_{B}S^{Z} = g\mu_{B}[NS - 0.0587 \left(\frac{k_{\beta}T}{2JSa^{2}}\right)^{3/2}]$$

Now  $M_S(0) = g\mu_B NS$ 

Fractional change of magnetization

$$\frac{M_S(0) - M_S(T)}{M_S(0)} = \frac{\Delta M}{M_S(0)} = \frac{0.0587}{NSa^3} \left(\frac{k_\beta T}{2JS}\right)^{3/2}$$

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For cubic symmetry N = no. of atoms/volume =  $Q/a^3$  where Q = 1, 2, 4 for simple, body-centred & face-centred cubic, respectively.

$$\frac{\Delta M}{M_S(0)} = \frac{0.0587}{QS} \left(\frac{k_{\beta}T}{2JS}\right)^{3/2}.$$
 (35)

This is known as <u>Bloch's  $T^{3/2}$  law.</u>

To analyse experimental data it is conventional to write (35) in the form

$$\frac{M_S(T)}{M_S(0)} = 1 - \left\{ 0.0587 \ \frac{g\mu_B}{M_S(0)} \left(\frac{k_\beta T}{2JSa^2}\right)^{3/2} \right\} T^{3/2}$$
$$= 1 - C_{3/2} T^{3/2}.$$

#### **Specific heat contribution from magnons**:

Another simple but interesting consequence of the dispersion relation is the magnon contribution to specific heat. The internal energy / unit volume is in thermal equilibrium is given by (following the previous arguments)

$$U = \frac{1}{4\pi^{2}} \left(\frac{\hbar}{2JSa^{2}}\right)^{3/2} \int_{0}^{\omega_{max}} \frac{\omega^{3/2} d\omega}{e^{\hbar\omega/k_{\beta}T} - 1}$$
  

$$= \frac{1}{4\pi^{2}} \left(\frac{\hbar}{2JSa^{2}}\right)^{3/2} \hbar \left(\frac{k_{\beta}T}{\hbar}\right)^{5/2} \int_{0}^{\infty} \frac{x^{3/2} dx}{e^{x} - 1}$$
  

$$= \frac{1}{4\pi^{2}} \left(\frac{k_{\beta}}{2JSa^{2}}\right)^{3/2} k_{\beta} T^{5/2} \xi(5/2) \Gamma(5/2) \qquad \left[ \therefore \xi(5/2) \Gamma(5/2) = 0.045 (4\pi^{2}) \right]$$
  

$$C_{V} = \left(\frac{\partial U}{\partial T}\right)_{V} = \frac{1}{4\pi^{2}} \left(\frac{k_{\beta}T}{2JSa^{2}}\right)^{3/2} k_{\beta} \frac{5}{2} \times 4\pi^{2} \times 0.045$$
  

$$C_{V} = 0.1125 k_{\beta} \left(\frac{k_{\beta}T}{2JSa^{2}}\right)^{3/2} \qquad (36)$$

Thus spin wave theory of ferromagnetism explains quite well the experimental observation on temperature dependence of magnetization and the specific heat contribution of magnons at low temperatures.

#### References used:

- 1. C. Kittel, Quantum Theory of Solids, 1972.
- 2. C. Kittel, "Low-temperature Physics", Lectures delivered at Les Houches, 1961.
- 3. J. Van Vleck, "A survey of the Theory of Ferromagnetism", Review of Modern Physics, 17, No. 1, 1945.

### Summary of spin-wave theory

Using the spin-wave dispersion relation for a cubic system

 $(h/2\pi)\omega_{k} = g\mu_{B}H_{0} + 2Jsa^{2}k^{2},$ 

one calculates the number of magnons, n in thermal equilibrium at temperature T to be proportional to  $T^{3/2}$  and hence

$$\begin{split} M(T) &= M(0) \, [1 + A_Z \{3/2, \, T_g/T\} \, T^{3/2} + B_Z \\ \{5/2, T_g/T\} \, T^{5/2}]. \\ This is the famous Bloch's \, T^{3/2} \, . \end{split}$$

- Similarly specific heat contribution of magnons temperature  $T/T_C$ . is also ~  $T^{3/2}$ .
- Both are in very good agreement with experiments.
  - [C. Kittel, Quantum Theory of Solids]



- Spontaneous magnetization, M<sub>S</sub> of a ferromagnet as a function of reduced
- > Bloch's  $T^{3/2}$  law holds only for  $T/T_C \ll 1$ .



 Hysteresis curve M(H) M<sub>S</sub> M<sub>r</sub> H<sub>C</sub>

 *Permanent magnets:* 
 Ferrites: low cost, classical industrial needs, H<sub>C</sub> = 0.4 T.

 NdFeB: miniaturization, actuators for read/ write heads, H<sub>C</sub> = 1.5 T, very high energy product = 300 kJ/m<sup>3</sup>.

 AlNiCo & SmCo: Higher price/energy, used if irreplaceable.

### Ni Fe Cr Alloys



#### Soft magnetic materials

Used in channeling magnetic induction flux: Large  $M_s$  & initial permeability  $\mu$  Minimum energy loss (area of M-H loop).

*Examples:* Fe-Si alloys for transformers, FeB amorphous alloys for tiny transformer cores, Ferrites for high frequency & low power applications, YIG in microwave range, Permalloys in AMR & many multilayer devices.

*Stainless steel (non-magnetic ???):* Very interesting magnetic phases from competing FM/AFM interactions.

#### Collective-electron or band theory of ferromagnetism

The localized-moment theory breaks down in two aspects. The magnetic moment on each atom or ion should be the same in both the ferromagnetic and paramagnetic phases & its value should correspond to an integral number of  $\mu_B$  None are observed experimentally. Hence the need of a band theory or collective-electron theory. In Fe, Ni, and Co, the Fermi energy lies in a region of overlapping 3d and 4s bands as shown in Fig. 1. The **rigid-band model** assumes that the structures of the 3d and 4s bands do not change markedly across the 3d series and so any differences in electronic structure are caused entirely by changes in the Fermi energy  $(E_F)$ . This is supported by detailed band structure calculations.



Fig. 1: Schematic 3d and 4s densities of states in transition metals. The positions of the Fermi levels  $(E_F)$  in Zn, Cu, Ni, Co, Fe, and Mn are shown.

#### Collective-electron or band theory of ferromagnetism

The exchange interaction shifts the energy of the 3d band for electrons with one spin direction relative to that with opposite spin. If  $E_F$  lies within the 3d band, then the displacement will lead to more electrons of the lower-energy spin direction and hence a spontaneous magnetic moment in the ground state (Fig. 2). The exchange splitting is negligible for the 4s electrons, but significant for 3d electrons.

➤ In Ni, the displacement is so strong that one 3d sub-band is filled with 5 electrons, and the other contains all 0.54 holes. So the saturation magnetization of Ni is  $M_S = 0.54N\mu_B$ , where N is the total number of Ni atoms. ⇒ the magnetic moments of the 3d metals are not integral number of  $\mu_B$ !





Fig. 2: Schematic 3d and 4s upand down-spin densities of states in a transition metal with exchange interaction included.

- > In Zn, both the 3d and 4s bands are filled and hence no magnetic moment.
- In lighter transition metals, Mn, Cr, etc., the exchange interaction is much weaker & ferromagnetism is not observed.

#### Band structure of 3d magnetic metals & Cu



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### The Slater-Pauling curve(1930)

The collective-electron and rigid-band models are further supported by the well-known plot known as the Slater-Pauling curve. They calculated the saturation magnetization as a continuous function of the number of 3d and 4s valence electrons per atom across the 3d series. They used the rigid-band model, and obtained a linear increase in saturation magnetization from Cr to Fe, then a linear decrease, reaching zero magnetization at an electron density between Ni and Cu. Their calculated values agree well with those measured for Fe, Co, and Ni, as well as Fe-Co, Co-Ni, and Ni-Cu alloys. The alloys on the right-hand side are strong ferromagnets. The slope of the branch on the right is -1 when the charge difference of the constituent atoms is small,  $Z \sim < 2$ . The multiple branches (on left) with slope  $\approx 1$ , as expected for rigid bands, are for alloys of late 3d elements with early 3d elements for which the 3d-states lie well above the Fermi level of the ferromagnetic host & we have to invoke Friedel's virtual bound states.



The average atomic moment is plotted against the number of valence (3d + 4s) electrons.

### Stoner criterion

The Pauli susceptibility  $(\mu_0 D(\varepsilon_F) \mu_B^2)$  is approximately  $10^{-5}$  for many metals, but it approaches  $10^{-3}$  for 4d Pd. Narrower bands tend to have higher susceptibility, because the density of states  $D(\varepsilon_F)$  scales as the inverse of the bandwidth. When  $D(\varepsilon_F)$  is high enough, it becomes energetically favourable for the bands to split, and the metal becomes spontaneously ferromagnetic. Stoner applied Weiss's molecular field idea to the free-electron gas.

Assuming the linear variation of internal field with magnetization with a coefficient  $n_S$ :  $H^i = n_S M + H$ , the Pauli susceptibility in the internal field is  $\chi_P = M/(n_S M + H)$ . Hence, the response to the field H,  $\chi = M/H = \chi_P/(1 - n_S \chi_P)$  is a susceptibility that diverges when  $n_S \chi_P = 1$ .

Stoner expressed this condition in terms of the local,  $D(\varepsilon_F)$ . Writing the exchange energy (in J m<sup>-3</sup>) –  $1/2 \mu_0 H^i M = -1/2 \mu_0 n_S M^2$  as  $-(I/4)(n^{\uparrow} - n^{\downarrow})^2/n$ , where  $M = (n^{\uparrow} - n^{\downarrow}) \mu_B$  and *n* is the number of atoms per unit volume, it follows that  $n_S \chi_P = ID(\varepsilon_F)/2n$ . The metal becomes spontaneously ferromagnetic when the susceptibility diverges spontaneously when  $IN_{\uparrow,\downarrow}(\varepsilon_F) > 1$ , where  $N_{\uparrow,\downarrow}(\varepsilon) = D(\varepsilon)/2n$  is the density of states per atom for each spin state (See next slide for details).

This is the famous Stoner criterion. The Stoner exchange parameter I is roughly 1 eV for the 3d ferromagnets, and  $n_S \sim 10^3$  for spontaneous band splitting. The exchange parameter has to be comparable to the width of the band for spontaneous splitting to be observed. Ferromagnetic metals have narrow bands and a peak in the density of states N( $\epsilon$ ) at or near  $\epsilon_F$ . 58 Data show that only Fe, Co, and Ni meet the Stoner criterion. Pd comes very close.

The exchange interaction between spins in ferro- or ferrimagnetic materials is the main origin of spontaneous magnetization. This interaction is essentially isotropic, so that the spontaneous magnetization can point in any direction in the crystal without changing the internal energy, if no additional interaction exists. However, in actual materials, the spontaneous magnetization has an easy axis, or several easy axes, along which the magnetization prefers to lie. Rotation of magnetization away from the easy axis is possible only by applying an external magnetic field. This phenomenon is called magnetic anisotropy which is used to describe the dependence of the internal energy on the direction of spontaneous magnetization. An energy term of this kind is called **magnetocrystalline anisotropy energy**.

> Magnetocrystalline or simply crystalline anisotropy energy is defined as the work required to make the magnetization lie along a certain direction compared to an easy direction. If the work is performed under isothermal condition, the crystalline anisotropy energy is nothing but the free energy, F. This is evident from the following derivation.

The free energy F = U - TS

so that

$$dF = dU - T dS - S dT = dU - dQ - S dT = -dW - S dT$$

where use has been made of the first law of thermodynamics, i.e., dU = dQ - dW. Thus, at constant temperature,

$$F = \int_0^F dF = \int -dW$$
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### Magnetocrystalline anisotropy



Crystal structure and magnetization curves taken along the easy and hard magnetization directions for (a) Fe, (b) Ni, and (c) Co. 60

**For cubic crystals** like iron and nickel, the anisotropy energy can be expressed in terms of the direction cosines ( $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$ ) of the magnetization vector w. r. t. the three cube edges. There are many equivalent directions in which the anisotropy energy has the same value. Because of the high symmetry of the cubic crystal, the anisotropy energy can be expressed as a polynomial series in  $\alpha_1, \alpha_2$ , and  $\alpha_3$ . Those terms which include odd powers of  $\alpha_I$  or cross-terms must vanish, because a change in sign of any of the  $\alpha_i$ , should bring the magnetization vector to a direction which is equivalent to the original direction. The expression must also be invariant to the interchange of any two  $\alpha_i$ s. The first term, therefore, should have the form  $\alpha_1^2 + \alpha_2^2 + \alpha_3^2$ , which is always equal to 1 and hence no anisotropy can result. Next is the fourth-order term which can be reduced to the form  $\sum_{i>i} \alpha_i^2 \alpha_i^2$  by the relationship

$$\alpha_1^4 + \alpha_2^4 + \alpha_3^4 = 1 - 2(\alpha_1^2 \alpha_2^2 + \alpha_2^2 \alpha_3^2 + \alpha_3^2 \alpha_1^2).$$

Thus we have the expression

$$E_{a} = K_{1}(\alpha_{1}^{2}\alpha_{2}^{2} + \alpha_{2}^{2}\alpha_{3}^{2} + \alpha_{3}^{2}\alpha_{1}^{2}) + K_{2}\alpha_{1}^{2}\alpha_{2}^{2}\alpha_{3}^{2}$$
<sup>61</sup>

Soshin Chikazumi, Physics of Ferromagnetism, Oxford University Press (1996), Chapter 12.

K1 & K2 could be both positive & negative. For iron at ~ 300 K:  $K_1 = 4.8 \times 10^4 \text{ J/m}^3$ ,  $K_2 = \pm 0.5 \times 10^4 \text{ J/m}^3$ For nickel at ~ 300 K:  $K_1 = -0.45 \times 10^4 \text{ J/m}^3$ ,  $K_2 = 0.23 \times 10^4 \text{ J/m}^3$ For (100) direction:  $E_a = K_1$ . For (111) direction:  $E_a = 3/2 K_1 + 1/8 K_2$ . For Fe:  $E_a = 4.8 \times 10^4 \text{ J/m}^3$  for (100) and (7.14 - 7.26)  $\times 10^4 \text{ J/m}^3$  for (111). So (100) is the easy axis. For Ni:  $E_a = -0.45 \times 10^4 \text{ J/m}^3$  for (100) and  $-0.65 \times 10^4 \text{ J/m}^3$  for (111). So (111) is the easy axis.

**Hexagonal cobalt** exhibits uniaxial anisotropy with the spontaneous magnetization, or easy axis, parallel to its c-axis of the at 300 K. As the magnetization rotates away from the c-axis, the anisotropy energy oscillates with  $\theta$ , the angle between the c-axis and the magnetization vector. We can express this energy by expanding it in a power series in  $\sin^2\theta$  as:

$$E_{\rm a} = K_{\rm u1} \sin^2 \theta + K_{\rm u2} \sin^4 \theta \, .$$

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For measuring magnetic anisotropy one uses techniques like torque magnetometer.

Origin of Magnetocrystalline Anisotropy: Van Vleck showed that magnetocrystalline anisotropy results when the spin-orbit interaction is considered as a perturbation to a system with isotropic Heisenberg exchange interactions. The second-order term in the perturbation expansion is:

$$J_{ex}\left(\frac{\lambda}{\Delta E}\right)^{2}\left[\boldsymbol{S}_{i}\cdot\boldsymbol{S}_{j}-\frac{3(\boldsymbol{S}_{i}\cdot\boldsymbol{r}_{ij})(\boldsymbol{S}_{j}\cdot\boldsymbol{r}_{ij})}{r_{ij}^{2}}\right]$$

where  $\lambda$  is the spin-orbit coupling constant and  $\Delta E$  is the energy difference between the ground and excited energy states of the orbital levels. Except for the factor  $J_{ex}(\lambda/\Delta E)^2$ , this expression resembles that

for the classical dipole-dipole interaction energy. Hence, this type of coupling is sometimes called pseudo-dipolar or anisotropic exchange. If the spins are treated as classical vectors, the pseudo-dipolar term averages out to zero in a crystal with cubic symmetry. This follows from the fact that the pseudo-dipolar coupling is proportional to  $(1 - 3 \cos^2 \theta_{ij})$  and the average of  $\cos^2 \theta_{ij}$  is 1/3. However, this is not the case when the spin orientations are quantized since the perturbation theory yields the energy correction to the second-order proportional to  $J_{ex}(\lambda/\Delta E)^4$ . Thus, when the anisotropy originates from the pseudo-dipolar interactions,

perturbation theory predicts that  $K_1 \approx J_{ex} \left(\frac{\lambda}{\Delta E}\right)^4$ .

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The stable domain structure minimizes the total energy consisting of magnetostatic, exchange, and anisotropy. Some typical domain structures and 180 & 90° walls (dashed lines) are:



A domain wall (Bloch wall) in crystals is the transition region which separates adjacent domains magnetized in different directions. The spins don't change abruptly across a single atomic plane but rotate gradually over many planes. When a field is applied, the domain structure changes so as to increase M along the field. The spins inside the domains, being  $\uparrow$  or  $\downarrow$  to **H**, don't



nce any torque. But those within the

H and start to rotate towards H resulting in an increase in the volume of the domain  $\uparrow$  to **H**.

This is called magnetization by "Domain wall displacement".



When **H** makes an angle with **M**, magnetization takes place initially by wall displacement at low fields increasing the volume of the favorably oriented domain at the cost of the unfavorably oriented ones. At higher fields M is rotated towards H.

**Domain wall thickness:** If the spin magnetic moments **S** of two adjacent atoms i and j make an angle  $\phi_{ij}$ , the exchange energy is given by =  $\mathbf{E}_{ij} = -2\mathbf{J}\mathbf{S}^2 \cos \phi_{ij}$ . For J > 0, the lowest energy state is where the two spins S are  $\|$ . For  $\phi_{ij} << 1$ ,  $\mathbf{E}_{ij} = \mathbf{J}\mathbf{S}^2 \phi_{ij}^2 +$ Consider 180° domain wall of N atomic layers; if C. tation is uniform, then,  $\phi_{ii} = \pi/N$ . For simple with lattice constant 'a', the no. of atoms/area -101) surface is  $1/a^2$ . The exchange energy stored in >ıll/area is  $\gamma_{ex} = N E_{ii}/a^2 = JS^2 \pi^2/a^2 N.$  (1) I nus the exchange energy stored in the wall

decreases with increasing no. of layers and hence the wall thickness.

On the other hand, the rotation of the spins in the wall out of the easy direction of magnetization causes an increase in the magnetocrystalline anisotropy energy. The anisotropy energy/area stored in this volume is

 $\gamma_a = K N a.$  (2)

Domain wall thickness is determined by the balance between the exchange energy which tends to increase the thickness and the anisotropy energy which tends to diminish it.

 $\gamma_{\text{total}} = \gamma_{\text{ex}} + \gamma_{a} = JS^{2}\pi^{2}/a^{2}N + K N a. \quad (3)$ Minimizing  $\gamma_{\text{total}}$  w. r. t. N:  $\partial \gamma_{\text{total}}/\partial N = 0$  gives  $N = (JS^{2}\pi^{2}/Ka^{3})^{1/2}$ . Thus, the wall thickness  $\delta = N a = (JS^{2}\pi^{2}/Ka)^{1/2}$ . (4)

For iron J = 2.16 x 10<sup>-21</sup> J, S = 1, K = 4.2 x 10<sup>4</sup> J/m<sup>3</sup>, a = 2.86 x 10<sup>-10</sup> m and so the wall thickness  $\delta$  = 4.2 x 10<sup>-8</sup> m  $\approx$  150 lattice constants & the total wall energy/area  $\gamma_{\text{total}} = 2 (JS^2 \pi^2 K/a)^{1/2}$  (5) = 1.1 x 10<sup>-3</sup> J/m<sup>2</sup> = 1.1 ergs/cm<sup>2</sup>.

Reference: Soshin Chikazumi, Physics of Ferromagnetism, Oxford University Press (1996), Chapters 15 to 17.

#### **Domain thickness:**



Consider a ferromagnetic plate of length *l*, unit surface area, and easy axis perpendicular to the plate. If there is a single domain [Fig. (a)] 'N' poles appear on the top surface & 'S' poles at the bottom producing a demagnetization field -  $M_S/\mu_0$ .

The magnetostatic energy stored per unit area is

 $E_m = M_s^2 l / 2\mu_0.$  (6)

 $E_m$  gets lowered if the plate is divided into many laminated domains of thickness d [Fig. (b)]. Here also free poles exist on both top & bottom surfaces. If 1 » d, we can ignore the interaction between the free poles and find  $E_m$  increases with 'd' as  $E_m = 1.08 \times 10^5 M_s^2 d$ . (7) On the other hand, domain wall energy decreases with the increase of 'd'. *WHY ???* Since  $\gamma_{total}$  [Eq. (5)] is the wall energy/area and *l*/d is the total wall area (area of 1 domain x N domains = *l* x unit length x N = *l* N = *l*/d since no. of domains is unit length/d), the wall energy area of the plate is

 $\mathbf{E}_{\text{wall}} = \gamma_{\text{total}} \, l/\mathbf{d} \tag{8}$ 

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The equilibrium value of 'd' is determined by minimizing  $E_{Total} = E_m + E_{wall} = 1.08 \times 10^5 M_s^2 d + \gamma_{total} l/d.$  (9)  $\partial E_{Total}/\partial d = 0$  giving  $d = 3 \times 10^{-3} (\gamma_{total} l)^{1/2}/M_s.$  (10) For iron with  $\mu_0 M_s = 2.15$  tesla, assuming l = 1 cm  $d = 5.6 \times 10^{-6} \text{ m} \approx 1/100 \text{ mm}.$ Then,  $E_{Total} = 6.56 \times 10^2 M_s (\gamma_{total} l)^{1/2} \approx 5.63 \text{ J/m}^2.$ If instead of many domains, there was a single domain (no wall energy),  $E_{Total} = E_m = M_s^2 l/2\mu_0.$  (6)  $= 1.8 \times 10^4 \text{ J/m}^2.$ 

# Thus the energy is reduced by a factor of 3,000 by the generation of finely divided domains.

There are other more stable domain configurations among which the closure domain [See Fig. 90° wall: Picture- frame domain] is the most important one observed in cubic crystals, like say, 4% Si-Fe. There is no magnetostatic energy. Here the domain width is determined by the balance between the magnetoelastic and wall energies. For ferromagnets there is a spontaneous deformation along the easy axis called "magnetostriction".

Here E<sub>Total</sub> is 300,000 times smaller than the one without domains. <sup>68</sup>

# Magnetism



#### FERRIMAGNET



#### FERROMAGNET



#### **ANTIFERROMAGNET**



# Indirect exchange

There are a number of indirect exchange interaction mechanisms which, within the framework of second-order perturbation theory, lead to an effective Hamiltonian of the Heisenberg form. The different types of indirect coupling mechanisms are as follows:

Kondo effect (a few ppm level of magnetic ions in a sea of electrons of a noble metal host like CuMn, AuFe, etc.) is the dilute-limit indirect exchange interaction between 3d moments mediated by conduction electrons. The latter are magnetized in the vicinity of the magnetic ion. This magnetization causes an indirect exchange interaction between the two ions because a second ion perceives the magnetization induced by the first. This gives rise to the resistivity minima at low temperatures. Kondo had shown that the anomalously large scattering probability by magnetic ions is a consequence of the dynamic nature of the scattering by the exchange coupling and sharpness of the Fermi surface. The spin-dependent contribution to the resistivity  $\rho_{mag} \sim$ J In T. If the exchange energy J is negative the spin resistivity increases as T is lowered. Adding to  $\rho_{mag} = -c \rho_1 \ln T$  the residual resistivity and the phonon term  $c\rho_0 + aT^5$  and minimizing  $\rho$  w. r. t T we get Kondo temperature  $T_{min} =$  $(c\rho_1/5a)^{1/5}$  in agreement with experiments. 70

### **RKKY** interactions

Then comes the **RKKY** (Rudermann-Kittel-Kasuya-Yoshida) indirect exchange interaction in a metallic magnet such as Gd, dilute Cu-Mn alloys, etc. which is mediated by quasi-free conduction electrons. In most rareearth compounds, their s and d electrons are delocalized and the ions are trivalent. The 4f electrons are very strongly bound and their orbitals are highly compact. Their spatial extension is far less than the interatomic spacing and so there cannot be any direct interaction of the 4f electrons of different atoms. Rather, it is the conduction electrons which couple the magnetic moments. This interaction can be written in terms of the 4f spins localized on the ion,  $S_i$  and of the spins of the conduction electrons,  $\sigma(\mathbf{r})$ :

 $\mathbf{E} = -\sum_{\mathbf{R}_{i},\mathbf{r}} \mathbf{J} (\mathbf{R}_{i} - \mathbf{r}) \mathbf{S}_{i} \cdot \boldsymbol{\sigma}(\mathbf{r}).$ This interaction is positive and highly localized of the form J ( $\mathbf{R}_{i} - \mathbf{r}$ ) = J  $\delta$ ( $\mathbf{R}_i - \mathbf{r}$ ) [intra-atomic exchange], J ~ 10<sup>-1</sup> to 10<sup>-2</sup> eV. A conduction electron sees a rare earth site i through a **field** created by the spin of the rare earth  $\mathbf{h}_i$  $= JS_i/g\mu_B\mu_0$ . This field polarizes the conduction electrons and this polarization propagates through the lattice, creating at any other point j a magnetization of the conduction electrons. This magnetization of the conduction electrons, associated with the local field  $\mathbf{h}_{i}$  at another site j, is 71 described by the generalized susceptibility

### **RKKY** interactions

 $\chi_{ij}$  defined by  $\mathbf{m}_i = \chi_{ij} \mathbf{h}_j = \chi_{ij} \mathbf{JS}_j / \mathbf{g\mu}_B \boldsymbol{\mu}_0$ . This amounts to an indirect interaction between the spins/magnetic moments on the two sites i and j. The interaction energy can be written as

 $E_{ij} = -Jm_i S_i/g\mu_B = -J^2\chi_{ij}S_i S_j/\mu_0(g\mu_B)^2.$ 

Clearly, this exchange interaction between spins is due to the polarization of the conduction electrons by localized spins. The sign of the interaction depends on the structure of the conduction band via  $\chi_{ij}$ . For free electrons it acts at long distance and for  $k_F R_{ij} >> 1$ ,  $\chi_{ij} \sim -[2 k_F R_{ij} \cos(2 k_F R_{ij}) - \sin(2 k_F R_{ij})]/(2 k_F R_{ij})^4$ ,



where  $k_F$  is the wave-vector at the Fermi level. Thus the exchange oscillates as a function of the distance between spins,  $R_{ij}$  as shown.  $k_F$  determines the wavelength of this oscillation. RKKY interaction gives rise to ferromagnetism when  $k_F$  is small (nearly empty band) or antiferromagnetism when  $k_F \sim \pi/a$  (half-filled band). We shall see in **GMR** that the same long-range interaction is generally the origin of coupling between magnetic layers which can be varied by changing the thickness of the non-magnetic layer. Also in **spin-glasses**.
## Super-exchange

In magnetic insulators RKKY interaction cannot operate because of no free electrons. An indirect exchange mechanism that is relevant to magnetic insulators is the **super-exchange** as in MnO, NiO,  $MnF_2$ ,  $CoF_2$  systems. The partially filled magnetic d-shells of  $Mn^{2+}$ ,  $Mn^{3+}$ ,  $Ni^{2+}$ .  $Ni^{3+}$ , Fe and Co ions are separated by ~ 4 A and so have hardly any nearest neighbours and hence no direct exchange. Instead, the magnetic ion spins couple indirectly via the intervening non-magnetic (diamagnetic) oxygen or fluorine ions. This invariably leads to a long-range antiferromagnetic ordering of spins on the 3d transition metal cations.



Due to a strong overlap of the 3d-wavefunctions of cations with 2pwavefunctions of oxygen anions, electron hopping is possible.  $Mn^{3+}$  is  $3d^4$ . So, by Hund's rule we put their spins  $\parallel$  along  $\uparrow$ , say. Now it (Mn <sup>3+</sup> on the left) can take only  $\uparrow$  spin electron from O<sup>2-.</sup> The second O<sup>2-</sup> electron with spin  $\downarrow$  can go to  $Mn^{3+}$  on the right whose all 4 spins MUST be  $\downarrow$  to satisfy Hund's rule.

#### Hence, super-exchange favors antiferromagnetism. 73

# Spin glass

- Figures below show formation of a spin glass which is an alloy of ٠
- Noble Metals(Cu, Ag, Au) provides s conduction electrons.  $\triangleright$
- 3d-Transition Metals(Cr, Mn, Fe, etc.) provides localized d electrons.  $\geqslant$





SPIN GLASS



- **Kondo effect gives** rise to resistivity minima
- $\rho \sim J \ln T \sim \ln T$  if J < 0 in case of AF
- s-d interaction gives RKKY interaction ~

 $\cos(2k_f r)/(2k_f r)^3$ 

#### **MICTOMAGNET**



- d-d overlap and s-d interaction
- CuMn (LRAF)
- AuFe (LRF)

# Spin glass



# Spin glass



To distinguish between an antiferromagnet, a paramagnet and a spin glass.

$$Q = \lim_{|t_1 - t_2| \to \infty} \left\langle \overline{S_i(t_1)S_i(t_2)} \right\rangle$$

Neutron scattering gives m = 0 but  $Q \neq 0$  for  $T < T_g$ .

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## **R & D** in Magnetic Materials after 1973

- The research on magnetism till late 20th century focused on its basic understanding and also on applications as soft and hard magnetic materials both in crystalline and amorphous forms.
- In 1980's it was observed that surface and interface properties deviate considerably from those of the bulk. With the advent of novel techniques like
  - e-beam evaporation
  - Ion-beam sputtering
  - Magnetron sputtering
  - Molecular beam epitaxy (MBE)

excellent magnetic thin films could be prepared with tailor-made properties which can not be obtained from bulk materials alone.

Magnetic recording industry was also going for miniaturization and thus the magnetic thin film technology fitted their requirement.

## **R & D** in Magnetic Materials after 1973

• Then came, for the first time, the industrial application of electronic properties which depend on the spin of the electrons giving rise to the so-called Giant Magnetoresistance(GMR), discovered in 1986.

• Since early 80's the Anisotropic Magnetoresistance effect (AMR) has been used in a variety of applications, especially in read heads in magnetic tapes and later on in hard-disk systems, gradually replacing the older inductive thin film heads. GMR recording heads are already in the market for hard disk drives and offer a stiff competition to those using AMR effects.

### So, what is Magnetoresistance ???

## Magnetoresistance

#### I. Ordinary or normal magnetoresistance (OMR)

> Due to the Lorentz force acting on the electron trajectories in a magnetic field.  $MR \sim B^2$  at low fields. MR is significant only at low temperatures for pure materials at high filds.

#### II. Anisotropic magnetoresistance (AMR) in a ferromagnet

≻In low field LMR is positive and TMR is negative.

➢Negative MR after saturation due to quenching of spin-waves by magnetic field.

FAR is an inherent property of FM materials originating from spin-orbit interaction of conduction electrons with localized spins.

> FAR(ferromagnetic anisotropy of resistivity) =  $(\Delta \rho_{//s} - \Delta \rho_{\perp s}) / \rho_{//s}$ 



# Magnetoresistance

#### **III. Giant Magnetoresistance (GMR)**

Fe-Cr is a lattice matched pair : Exchange coupling of ferromagnetic Fe layers through Cr spacers gives rise to a negative giant magnetoresistance (GMR) with the application of a magnetic field.

- RKKY interaction ~  $\cos(2k_f r)/(2k_f r)^{3}$
- Established by experiments on light scattering by spin waves.

[ P. Grünberg et al., Phys. Rev. Lett. 57, 2442(1986).]



At low fields the interlayer antiferromgnetic coupling causes the spins in adjacent layers to be antiparallel and the resistance is high At high fields the spins align with the field (saturating at  $H_{sat}$ ) and the resistance is reduced.

Magnetoresistance is defined by

$$MR = \frac{\rho(H,T) - \rho(0,T)}{\rho(0,T)} \times 100\% \quad . \tag{1}$$



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#### **Calculation of GMR (Spin-dependent scattering)**

#### **Bulk scattering**

Assuming MFP > Cr spacer thickness. Spin-dependent scattering in ferromagnetic metals ⇒ 2 current conduction model of Fert & Campbell. [A. Fert and I. A. Campbell, J. Phys. F : Metal Phys., 6, 849(1976).]

In Fe ( weak ferromagnet ) majority band has a much lower conductivity as seen from  $\sigma = ne\mu$  and its band structure.

[P. B. Visscher and Hui Zhang, Phys. Rev. B 48,



Using the above picture one can show that

$$GMR = -\left(\frac{\rho \downarrow / \rho \uparrow - 1}{\rho \downarrow / \rho \uparrow + 1}\right)^{2},$$

where  $\rho \downarrow$  and  $\rho \uparrow$  are the resistivities of minority and majority band, respectively. It is the imbalance between  $\rho \downarrow$  and  $\rho \uparrow$  which produces GMR.

Interface scattering, alloying of Fe and Cr at the interface, etc. are also spin-dependent and contribute to GMR, so does the spin-flip scattering at high temperatures.

Magnetic Multilayers and Giant Magnetoresistance, Ed. by Uwe Hartmann, Springer Series in Surface Sciences, Vol. 37, Berlin (1999).

#### **Interface scattering**

Local spin density approximation to density functional theory, treating the disordered atomic planes by KKR-CPA, was used by Butler et al. to calculate the electronic structure, magnetic moments, scattering rate and electrical conductivity in many GMR systems.

[W. H. Butler et al, J. Magn. Magn. Mater. 151, 354-362(1995)]

Calculated majority and minority valence electrons per atom for a trilayer system of 10 atomic layers of Cr embedded in Fe. Note the matching at the minority channel.



So minority spin electrons at the Fermi level would see hardly any difference between the atomic potentials of Fe and Cr & experience weak reflections from interfaces and weak impurity scattering at interdiffused Fe-Cr zone. Significant contribution to GMR thus comes from the spin-dependent potential matching. Calculations also show that the density of states at the

matched spin channel is low.



DOS in Fe-Cr trilayer shows large values for the majority carriers which show no band matching.

**Impurity scattering** relaxation time  $\tau_s^{i}$  of electrons with spin s goes as

$$\left\langle \frac{1}{\tau_s^i} \right\rangle \sim N_s(E_F) \left\langle |\Delta V_s|^2 \right\rangle,$$

where  $N_s(E_F)$  is the DOS at  $E_F$  and  $\Delta V_s$  is the difference in potential between the host and the impurity. This is valid only for  $\Delta V_s \rightarrow 0$ .  $\Delta V_s \sim \sin^2 \eta_1$ ;  $\eta_1$  = phase shifts of the host and the impurity potentials. Calculations of Butler et al. show that although s & p (1 = 0 & 1) phase shifts are almost the same for the two channels they are very different for 1 = 2 (d  $\downarrow$  than d $\uparrow$ ). So in the case of Cu-Co, the resistivity is much larger for the minority band due to its higher DOS as well as  $\sin^2 \eta_1$ .

**Electron-phonon scattering,** dominant at higher temperatures, also has a similar dependence on DOS resulting in a higher resistivity for the minority band.



#### Sample details

≻ Si/Cr(50Å)/[Fe(20Å)/Cr(tÅ)]×30/Cr((50-t)Å)

Varying Cr thickness t = 6, 8, 10, 12, and 14 Å

- > Fe/Cr multilayers prepared by ion-beam sputtering technique.
- > Ar and Xe ions were used.

▶ Beam current 20 mA /30 mA and energy 900eV/1100eV.

#### **GMR data in Fe-Cr multilayers**



- Negative GMR of 21% at 10K and 8% at 300K with  $H_{sat} \approx 13$  kOe.
- > Hardly any hysteresis  $\Rightarrow$  strong AF coupling.

GMR (H,T)  $\rightarrow$  A. K. Majumdar, A. F. Hebard, A. Singh, and D. Temple, Phys. Rev. B65,054408(2002). Hall Effect (H,T)  $\rightarrow$  P. Khatua, A. K. Majumdar, D. Temple, and C. Pace, Phys. Rev. B73, March (2006).

# **Application of GMR**

1973: Rare earth – transition metal film in magneto-optic recording. 1979: Thin film technology for heads in hard disks (both read and write processes) (IBM).

1991: AMR effect using permalloy films for sensors in HDD by IBM.1997: GMR sensors in HDD by IBM.

- Robotics and automotive sensors (e.g. in car).
- > Pressure sensors(GMR in conjunction with magnetostrictive materials).
- Sensitive detection of magnetic field.
- > Magnetic recording and detection of landmines.

Currently both GMR and TMR are used for application in sensors and MRAMs.

Comparison between AMR / GMR effect: In contrast to AMR, GMR is isotropic & is more robust than AMR.

### GMR & Exchange-biased Spin-valve structure



> FeMn providing local magnetic field to Co layer, and NiFe is magnetically soft.

≻ GMR > 20 %.

 $\succ$  Read heads for HDD detect fields ~ 10 Oe.

### **Tunnel Magnetoresistance (TMR)**

Discovery of GMR effect in Fe/Cr supperlattice in 1988 and giant tunnel magnetoresistance (TMR) effect at room temperature (RT) in 1995 opened up a new field of science and technology called spintronics. The investigation provides better understanding on the physics of spin-dependent transport in heterogeneous systems. Perhaps more significantly, such studies have contributed to new generations of magnetic devices for information storage and magnetic sensors.

A magnetic tunnel junction (MTJ), which consists of a thin insulating layer (a tunnel barrier) sandwiched between two ferromagnetic electrode layers, exhibits TMR due to spin-dependent electron tunneling. MTJs with an amorphous aluminium oxide (Al–O) tunnel barrier have shown magnetoresistance (MR) ratios up to about 70 % at RT and are currently used in magnetoresistive random access memory (MRAM) and the read heads of hard disk drives. In 2004 MR ratios of about 200 % were obtained in MTJs with a single-crystal MgO(001) barrier or a textured MgO(001) barrier. Later CoFeB/MgO/CoFeB MTJs were also developed having MR ratios up to 500 % at RT.



## **Tunnel Magnetoresistance (TMR)**



#### **SPIN-POLARIZED TUNNELING**

Schematic illustration of the TMR effect in a MTJ. (a) Magnetizations in the two electrodes are aligned parallel (P). (b) Magnetizations are aligned antiparallel (AP).  $D1\uparrow$  and  $D1\downarrow$ , respectively, denote the density of states at EF for the majority-spin and minority-spin bands in electrode 1, and D2 $\uparrow$  and D2 $\downarrow$  are respectively those for electrode 2.

$$\Rightarrow \begin{array}{c} D_{\uparrow} - D_{\downarrow} >> \text{ than } v \\ \text{high polarization} \end{array}$$

what is shown for materials!!!

In an MTJ, the resistance of the junction depends on the relative orientation of the magnetization vectors M in the two electrodes.

When the M's are parallel, tunneling probability is maximized because electrons from those states with a large density of states can tunnel into the same states in the other electrode. When the magnetization vectors are antiparallel, there will be a mismatch between the tunneling states on each side of the junction. This leads to a diminished tunneling probability, hence, a larger resistance. 92

#### **Tunnel Magnetoresistance (TMR)**

Assuming no spin-flip scattering, the MR ratio between the two configurations is given by,

 $(\Delta R/R_P) = (R_{AP}-R_P)/R_P = 2P^2/(1-P^2)$ , where  $R_P/R_{AP}$  is the resistance for the P/AP configuration &  $P = (D_{\uparrow} - D_{\downarrow})/(D_{\uparrow} + D_{\downarrow}) =$  spin-polarization parameter of the magnetic electrodes. A half-metal corresponds to P = 1.

Gang Mao, Gupta, el al. at Brown & IBM obtained below 200 Oe a GMR of 250 % in MTJ's with electrodes made of epitaxial films of doped half-metallic manganite  $La_{0.67}Sr_{033}MnO_3$  (LSMO) and insulating barrier of SrTiO<sub>3</sub> using self-aligned lithographic process to pattern the junctions to micron size. They confirmed the spin-polarized tunneling as the active mechanism with P ~ 0.75. The low saturation field comes from the fact that manganites are magnetically soft, having a coercive field as small as 10 Oe. They have also made polycrystalline films with a large number of the grain boundaries and observed large MR at low fields. Here the mechanism has been attributed to the spin-dependent scattering across the grain boundaries that serve to pin the magnetic domain walls.

GANG MAO, A. GUPTA, X. W. LI, G. Q. GONG, and J. Z. SUN, Mat. Res. Soc. Symp. Proc. Vol. 494 (1998) S. Yuasa and D. D. Djayaprawira, J. Phys. D: Appl. Phys. 40, R337–R354 (2007). 93

#### Hall effect in 5 layers of TiN/Ni nanocrystals



### Colossal Magnetoresistance (CMR)





Another class of materials, the rare-earth manganite oxides, La<sub>1-x</sub>D<sub>x</sub>MnO<sub>3</sub> (D=Sr, Ca, etc.), show Colossal Magnetoresistance effect (CMR) due to simultaneous occurrence of metal-insulator (M-I) and FM-PM phase transitions with a very large negative MR near T<sub>C</sub> when subjected to a tesla-scale magnetic field.
The double-exchange model of Zener and a strong e-ph interaction from the Jahn-Teller splitting of Mn d levels explain most of their magnetotransport properties.
Though fundamentally interesting, the CMR

Though fundamentally interesting, the CMR effect, achieved only at large fields and below 300 K, poses severe technological challenges to potential applications in magnetoelectronic devices, where low field sensitivity is crucial.

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D. Kumar, J. Sankar, J. Narayan, Rajiv K. Singh, and AKM, Phys. Rev. B 65, 094407 (2002).

## Colossal Magnetoresistance (CMR)



Figure 11.22 The perovskite structure. The small cation (in black) is surrounded by an octahedron of oxygen anions (in gray). The large cations (white) occupy the unit cell corners.







#### **Jahn-Teller effect**

**Figure 6.** The Jahn-Teller effect for  $Mn^{3+}(3d^4)$ . An octahedral complex (left) can distort (right), thus splitting the  $t_{2g}$  and  $e_g$  levels. The distortion lowers the energy because the singly occupied  $e_g$  level is lowered in energy. The saving in energy from the lowering of the  $d_{xz}$  and  $d_{yz}$  levels is exactly balanced by the raising of the  $d_{xy}$  level.

#### Double exchange



Figure  $\cancel{A}$ . Double exchange mechanism gives ferromagnetic coupling between  $Mn^{3+}$  and  $Mn^{4+}$  ions participating in electron transfer. Intersite exchange interaction favors hopping if (a) spins on neighboring ions are ferromagnetically aligned and not if (b) neighboring ions are antiferromagnetically aligned.

### Colossal Magnetoresistance (CMR)



The origin of CMR stems from the strong interplay among the electronic structure, magnetism, and lattice dynamics in manganites. Doping of divalent Ca or Sr impurities into trivalent La sites create mixed valence states of  $Mn^{3+}$  (fraction: 1-x) and  $Mn^{4+}$  (fraction: x).  $Mn^{4+}(3d^3)$  has a localized spin of S = 3/2 from the low-lying  $t_{2g}$  3 orbitals, whereas the  $e_g$  obitals are empty.  $Mn^{3+}$  (3d<sup>4</sup>) has an extra electron in

the  $e_g$  orbital, which can hop into the neighboring Mn<sup>4+</sup> sites (Double Exchange). The spin of this conducting electron is aligned with the local spin (S = 3/2) in the  $t_{2g}$  3 orbitals of Mn<sup>3+</sup> due to strong Hund's coupling. When the manganite becomes ferromagnetic, the electrons in the  $e_g$  orbitals are fully spin-polarized. The band structure is such that all the conduction electrons are in the majority band. This kind of metal with empty minority band is generally called a half-metal and so manganites have naturally become a good candidate for the study of spin-polarized transport. <sup>98</sup>

## Colossal Magnetoresistance (CMR)

#### **Double exchange**

Zener (1951) offered an explanation that remains at the core of our understanding of magnetic oxides. In doped manganese oxides, the two configurations

 $\psi_1$ : Mn<sup>3+</sup>O<sub>2</sub><sup>-2</sup>Mn<sup>4+</sup> and  $\psi_2$  : Mn<sup>4+</sup>O<sub>2</sub><sup>-2</sup>Mn<sup>3+</sup>

are degenerate and are connected by the so-called double-exchange matrix element. This matrix element arises via the transfer of an electron from  $Mn^{3+}$  to the central  $O_2^{-2}$  simultaneous with transfer from  $O_2^{-2}$  to Mn<sup>4+</sup>. The degeneracy of  $\psi_1$  and  $\psi_2$ , a consequence of the two valencies of the Mn ions, makes this process fundamentally different from the above conventional superexchange. Because of strong Hund's coupling, the transfer-matrix element has finite value only when the core spins of the Mn ions are aligned ferromagnetically. The coupling of degenerate states lifts the degeneracy, and the system resonates between  $\psi_1$  and  $\psi_2$  if the core spins are parallel, leading to a ferromagnetic, conducting ground state. The splitting of the degenerate levels is  $k_B T_C$  and, using classical arguments, predicts the electrical conductivity to be s' x  $e^2$  a h T<sub>C</sub>/T 99 where 'a' is the Mn-Mn distance and x, the  $Mn^{4+}$  fraction.

## Nano-magnetism

### Recording Media

- > A good medium must have high  $M_r$  and  $H_c$ .
- In the year 2000 areal storage density of 65 Gbit/sq. inch was obtained in CoCrPtTa deposited on Cr thin films/Cr<sub>80</sub>Mo<sub>20</sub> alloy.
- To achieve still higher density like 400Gbit/sq. inch it is necessary to use patterned medium instead of a continuous one. This is an assembly of nano-scale magnetically independent dots, each dot representing one bit of information.
- Some techniques are self-organization of nano-particles, nano-imprints or local ion irradiation.
- Problem of reducing magnetic particle size is the so-called "Superparamagnetic limit".

#### What is Superparamagnetism ???

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In magnetic materials, the most common anisotropy is the "magnetocrystalline" anisotropy caused by the spin-orbit interaction.

It is easier to magnetize along certain crystallographic directions.

The energy of a ferromagnetic particle (many atoms) with uniaxial anisotropy constant K<sub>1</sub>(energy/vol.) and magnetic moment  $\mu$  making an angle  $\theta$  with **H** || z-axis is ,  $\mathcal{E} = K_1 V \sin^2 \theta - \mu H \cos \theta$ , V being the volume of the particle. This has two minima at at  $\theta = 0$  and  $\pi$  with energies  $\mathcal{E}_1 = -\mu H$  and  $\mathcal{E}_2 = +\mu H$ 

and a barrier in between. Assuming that M of the particles spend almost all their time in one of the minima, the no. of particles jumping from min. 1 to min. 2 is a function of the barrier height  $\varepsilon_m - \varepsilon_1$ , where  $\varepsilon_m =$  energy of the maximum. To get  $\varepsilon_m$ , put  $\partial \varepsilon / \partial \theta = 0 = \sin \theta (2K_1 V \cos \theta + \mu H)$ .

There are several types of anisotropies in magnetic materials, the most common is the "magnetocrystalline" anisotropy caused by the spin-orbit interaction in a ferromagnet. It is easier to magnetize along certain crystallographic directions. This energy term is direction dependent. The energy of a ferromagnetic particle (many atoms) with uniaxial anisotropy constant  $K_1$ (energy/vol.) and magnetic moment  $\mu$  making an angle  $\theta$  with  $\mathbf{H} \parallel z$ -axis is  $\mathcal{E} = K_1 V \sin^2 \theta - \mu H \cos \theta$ , V being the volume of the particle. This has two minima at  $\theta = 0$  and  $\pi$  with energies  $\mathcal{E}_1 = -\mu H$  and  $\mathcal{E}_2 = +\mu H$  and a barrier in between.

Assuming that M of the particles spend almost all their time in one of the minima, the no. of particles jumping from min. 1 to min. 2 is a function of the barrier height  $\varepsilon_m - \varepsilon_1$ , where  $\varepsilon_m =$  energy of the maximum. To get  $\varepsilon_m$ , put  $\partial \varepsilon / \partial \theta = 0 = \sin\theta (2K_1 V \cos\theta + \mu H)$ .



$$\nu_{12} = c_{12} e^{-\beta (\mathcal{E}_{\rm m} - \mathcal{E}_1)} = c_{12} e^{-\beta K_1 V (1 + H/H_K)^2}$$
  
where  $H_K = \frac{2K_1 V}{\mu} = \frac{2K_1}{M_s}$ .

Converting to relaxation time for H = 0 gives

$$\frac{1}{\tau} = f_0 e^{-\alpha}$$
 with  $\alpha = \frac{K_1 V}{k_{\rm B} T}$  & K<sub>1</sub>V = Energy barrier.

Neel's estimate of  $f_0$  was  $10^9$  s<sup>-1</sup>. Current values are ~  $10^{10}$  s<sup>-1</sup>.

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Material	R (Å)	au (s)
Cobalt	44	$6  imes 10^5$
	36	0.1
Iron	140	$1.5  imes 10^5$
	115	0.07
Nickel	85	$10 \times 10^{5}$
	75	10

- This table shows prominently the exponential behaviour of τ (R). For, say, Ni, τ increases by 5 orders of magnitude as R changes from 75 to 85 Å.
- If τ >> τ<sub>exp</sub>, experimental time scale, no change of M could be observed during τ<sub>exp</sub> → " Stable ferromagnetism".
- > If  $\tau \ll \tau_{exp}$ , M will flip many many times during  $\tau_{exp}$  & average M will be 0. Thus there is a loss of "Stable ferromagnetism" since the relaxation time,  $\tau$ , is too small.





- But in a field H, M will behave as a paramagnet as shown in <m> vs. H/T plot of last page with easy saturation when all the particles align at a much lower field & higher temperature since S in Langevin function (~ SH/T) is now 10<sup>3</sup> - 10<sup>4</sup>. This is "Superparamagnetism"(SPM) --- "super" meaning "very high" as in "Superconductor".
- Transition from stable FM to SPM shifts to smaller particle size when T is decreased since t is a function of V/T. The temperature, T<sub>B</sub> at which t ~ t<sub>exp</sub>, is called the "Blocking temperature". Above T<sub>B</sub>, SPM with all M vs. H/T curves coalesce to one but no hysteresis (see earlier slide). Below T<sub>B</sub>, it is in a "blocked" (FM) state with hysteretic M<sub>r</sub> and H<sub>C</sub>.



#### Ni nanocrystals in Alumina & TiN matrices





Self-assembled nickel nano particles in alumina thin film matrix with uniform size distribution averaging  $(13.2 \pm 0.3)$  nm. Images of truncated pyramidal shaped nickel islands on TiN:(a) low-magnification TEM, and(b) HRSTEM Z-contrast image.

## Nano-magnetic Systems

#### Sample details

Five alternating layers of Ni (nano dots) and TiN (metallic matrix) were deposited on Si using PLD method:



STEM-Z image of Ni nanoparticles embedded in TiN metal matrix.

Base pressure = 5×10<sup>-7</sup> Torr
Substrate temperature = 600 °C
Energy density and repeatition rate of the laser beam are 2J/cm<sup>2</sup> and 10Hz.

#### Why TiN?

Chemical stability, hardness, acts as diffusion barrier for both Ni and Si, high electrical conductivity, grows as a buffer layer epitaxially on Si.

D. Kumar, H. Zhou, T. K. Nath, Alex V. Kvit, and J. Narayan, Appl. Phys. Lett. 79, 2817 (2001).

